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(54) **Surgical flexible clip applier with jaw assembly**

Flexibles chirurgisches Instrument zum Anbringen von Klemmern mit Klemmbackenanordnung  
Applicateur chirurgical flexible d'agrafes avec ensemble des pinces

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**Description****BACKGROUND OF THE INVENTION****1. Field of the Invention**

**[0001]** This invention relates broadly to surgical instruments. Particularly, this invention relates to flexible endoscopic instruments for use through an endoscope. More particularly, this invention relates to a surgical clip applier which is adapted for use through an endoscope and may be used to clamp and/or suture, ducts, vessels, and other tissues, to anchor a tissue, or to attach a foreign body to a tissue.

**2. State of the Art**

**[0002]** Surgical clips are generally used to apply clamping force to ducts, vessels, and other tissues. In addition, surgical clips are particularly useful in controlling bleeding of a tissue in lieu of suturing or stapling where suturing or stapling is difficult.

**[0003]** All of the currently available surgical multi-firing clip applicators are substantially rigid devices intended to extend through a trocar port or through an incision to a surgical site requiring application of a clip. The devices have been rigid because a stiff pushing element has been required in order to exert the required pushing force to move the clip over the tissue.

**[0004]** However, there is a substantial need for a flexible clip applier, particularly one insertable through a lumen of an endoscope. The ability to apply clips through an endoscope would permit myriad minimally invasive surgical solutions to medical problems, especially those of the gastrointestinal tract. However, it is accepted theory that the transmitted force required to advance or form a clip over tissue cannot be produced in the distalmost end of a long flexible device that is commonly constructed with a metal tubular coil, or polymer tube, such as an endoscopic device or catheter. For example, C. Paul Swain, MD, a recognized expert in endoscopic instruments and particularly endoscopic stapling devices, has stated that "[i]t is hard to exert more than 200g of force on the tissue when pushing . . . This fact is of course one feature that makes intervention at flexible endoscopy relatively safe". See C. Paul Swain, "What Endoscopic Accessories Do We Really Need?", Emerging Technologies in Gastrointestinal Endoscopy, Gastrointest. Endosc., Vol. 7, No. 2, pp. 313-330 (April 1997). Yet, a pushing force substantially greater than 200g is required to push a clip over compressed tissue. In fact, it is believed a force in excess of 500 grams (1.1 lbs) is required for a satisfactory instrument, and substantially greater forces, e.g., in excess of 1500 grams (3.3 lbs) would be desirable.

**[0005]** Generally a flexible endoscopic device (e.g., a biopsy forceps device) includes an outer tubular member, typically being constructed of a metal tubular coil or a

polymer tube which is poor in transmitting forces that impart tensile stresses to the outer tubular member, a control element longitudinally movable relative to the tubular member, an end effector coupled to the distal ends

5 of both the tubular member and the control element such that relative movement of the control element and the tubular member causes operation of the end effector, and a handle which moves the control element relative to the handle.

10 **[0006]** This type of flexible endoscopic instrument is limited in the amount of pushing force it can generate for several reasons. Compression of a flexible control element (pushing element) tends to cause the pushing element to buckle within the outer flexible sheath of the device.

15 If a relatively larger diameter flexible pushing element is used such that it better resists buckling, the pushing element may impart too much stiffness to the flexing of the endoscopic instrument. In addition, a flexible pushing element of larger diameter is subject to greater frictional forces within the outer sheath which reduces the force transmitted from the handle to the end effector. If the flexible pushing element is made relatively smaller in diameter, it is subject to kinking which will result in little to no force transmitted to the distal end. Kinking is especially a problem in endoscopic instruments, as the endoscope and its lumen may be extended through a tortuous path. For these reasons and others, mechanical application of a relatively large distal end pushing force and particularly clip application have been absent from the capability of flexible endoscopic tools.

20 **[0007]** In addition, it is important that the tissue about which a clip is to be applied be substantially compressed. While the jaws apply a clamping force which compresses the tissue, large clamping forces are difficult to achieve due to the dimensions of the relatively small jaw assembly.

25 That is, the dimensions are such that the lever arm between a pivot of the jaw assembly and each jaw tang is relatively short, limiting the mechanical leverage on the jaw assembly.

30 **[0008]** US 2002/138086 A1 and US 2002/0138086 A1 both disclose a flexible clip applier which includes a flat wire wound tubular coil, a pair of jaws at the end of the coil, end effector wires extending through the coil and coupled to the jaws, and a clip-advancing wire extending through the coil. The jaws include channels in which a clip rides when the jaws are closed, and a distal anvil which operates to bend a portion of the clip to facilitate its retention on the clamped tissue.

35 **[0009]** It is therefore an object of the invention to provide a flexible endoscopic device capable of being subjected to large tensile forces.

40 **[0010]** It is also an object of the invention to provide a flexible endoscopic device capable of generating a relatively large clamping force at its jaw assembly.

45 **[0011]** It is another object of the invention to provide a

**50 SUMMARY OF THE INVENTION**

**[0009]** It is therefore an object of the invention to provide a flexible endoscopic device capable of being subjected to large tensile forces.

**[0010]** It is also an object of the invention to provide a flexible endoscopic device capable of generating a relatively large clamping force at its jaw assembly.

**[0011]** It is another object of the invention to provide a

flexible endoscopic device having a jaw assembly with increased mechanical advantage.

[0012] A jaw assembly according to the present invention is defined in claim 1 and an endoscopic system employing said jaw assembly is defined in claim 12.

[0013] The jaws include clamping surfaces which operate to compress tissue between the jaws when the jaws are closed, guides in which a distalmost clip rides distally and is advanced over the clamped tissue when a line of clips is advanced by a clip pusher, and a distal anvil which operates to bend a portion of the distalmost clip to enhance its retention on the clamped tissue. According to a preferred aspect of the invention, portions of the jaws and the clevis define a circumferential ridge of greater diameter than the remainder of the jaws and clevis. This ridge operates to permit the pivot axis of the jaws and the tang holes of the jaws (at which the control wires are coupled to the tang of the jaws) to be moved further apart than otherwise possible to effect substantially greater mechanical advantage in closing the jaws.

[0014] In operation, the jaws can be moved through a working channel of an endoscope in a closed position. Once exited, a handle can be operated to open the jaws and rotate the jaws to a desired orientation. The jaws are positioned on either side of tissue about which it is desired to place a clip and the handle is operated to pull the end effector wires such that the jaws clamp about the tissue. The handle is then locked to maintain the jaws in the clamped position. The handle is operated to effect advancement of a clip-advancing wire through the tubular coil such that a clip is advanced through the jaw guides and over the tissue. A clip is advanced until a portion thereof is forced against the anvil of the jaws to effect bending of the clip portion such that that portion moves laterally to pierce the clamped tissue. After the clip is applied, the jaws are released from about the tissue, and the end effector assembly may then be moved to another tissue location to apply additional clips.

[0015] Additional objects and advantages of the invention will become apparent to those skilled in the art upon reference to the detailed description taken in conjunction with the provided figures.

#### BRIEF DESCRIPTION OF THE DRAWINGS

[0016]

Fig. 1 is a partial section side elevation view of a surgical clip applier according to the invention, shown with the handle configured to provide the jaws in an open configuration;

Fig. 2 is a broken perspective view of a distal portion of the clip applier according to the invention;

Fig. 2A is an exploded and broken perspective view of a distal portion of the clip applier according to the invention;

Fig. 2B is a broken schematic view of a distal end of the clip-advancing wire and the coil connector;

Fig. 2C is a broken schematic view of a distal end of the clip-advancing wire and the coil connector illustrating the limitation on proximal movement of the clip-advancing wire relative to the coil connector;

Fig. 3 is a perspective view of the jaw assembly of the clip applier according to the invention, and a clip;

Fig. 4 is a partial section side elevation view of a surgical clip applier according to the invention, showing the right side of the handle positioned to place the jaws in an unloaded closed configuration;

Fig. 4A is a view similar to Fig. 4, illustrating alternative embodiments to the handle of the clip applier according to the invention;

Fig. 5 is an enlarged view of the handle of the surgical clip applier with the handle in the same position as shown in Fig. 4;

Fig. 6 is a view similar to Fig. 4 of the left side of the handle;

Fig. 7 is a view similar to Fig. 6 with the addition of the various springs;

Fig. 8 is an enlarged broken section view of the proximal left side of the handle of the clip applier according to the invention;

Fig. 9 is an enlarged side perspective view of the end effector assembly;

Fig. 10 is an enlarged distal end perspective view of the end effector assembly;

Fig. 11 is a broken partial section side elevation view of the distal end of the clip applier according to the invention;

Fig. 12 is a view similar to Fig. 1, showing the handle configured such that the jaws are in a unloaded closed position, and shown without the pinion on the jaw closing lever;

Fig. 13 is a partial section perspective view of a surgical clip applier according to the invention, illustrating rotation of the end effector assembly by operation of the rotation knob;

Fig. 14 is a partial section side elevation view of a surgical clip applier according to the invention, showing the jaws in a clamped configuration;

Fig. 15 is a partial section side elevation view of a surgical clip applier according to the invention, showing the jaws in a clamped configuration and the clip-advancing lever actuated;

Fig. 16 is an enlarged partial section view of the handle of the surgical clip applier, showing the clip-advancing lever actuated;

Fig. 17 is a longitudinal section view of the distal end of the clip applier according to the invention, shown with the jaws in a closed configuration and a formed clip therebetween;

Fig. 18 is a broken partial section side elevation view of the distal end of the clip applier according to the invention, shown with the jaws in an open configuration and a formed clip therebetween;

Fig. 19 is a broken partial section side elevation view of the distal end of the clip applier according to the invention, shown with the jaws in an open configuration, the formed clip released, and the retainer of a subsequent clip protruding between the jaws;

Fig. 20 is a longitudinal section view of the distal end of the clip applier according to the invention, shown with the jaws in an open configuration and the retainer retracted relative to the view of Fig. 19;

Fig. 21 is a partial section side elevation view of a surgical clip applier according to the invention, shown with the jaws in an open position and a formed clip released therefrom;

Fig. 22 is a table listing dimensions for the tubular coil, clip-advancing wire, and end effector wires of six prototypes, and the resultant output force achieved with the prototype;

Fig. 23 is an efficiency plot of the prototypes described in the table of Fig. 22;

Fig. 24 is a broken perspective view of a distal end of clip applier device of the invention, showing second embodiments of a ratchet mechanism and clip chamber according to the invention;

Fig. 25 is an enlarged broken perspective view of a portion of the ratchet mechanism of Fig. 24;

Fig. 26 is a partial broken perspective view of a distal end of clip applier device of the invention, showing the second embodiments of a ratchet mechanism and clip chamber according to the invention;

Fig. 27 is an enlarged partial broken perspective view of a portion of the ratchet mechanism and clip cham-

ber of Fig. 26;

Fig. 28 is a side elevation of helical cut metal tube for use as a clip chamber in accord with the invention;

Fig. 29 is an end view of the metal tube of Fig. 28, with a clip shown within in broken lines;

Fig. 30 is a partial broken perspective view of a third embodiment of a ratchet mechanism and clip chamber, as well as an alternate jaw assembly according to the invention;

Fig. 31 is a partial broken exploded perspective view similar to Fig. 30;

Fig. 32 is a perspective view of the alternate jaw assembly according to the invention, with the jaws shown in an open position; and

Fig. 33 is a perspective view of the alternate jaw assembly according to the invention, with the jaws shown in a closed position.

## 25 DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

[0017] Turning now to Figs. 1, 2, 2A and 3, an embodiment of a flexible clip applier 10 according to the invention suitable for insertion through a working channel (lumen) of an endoscope is shown. The clip applier 10 generally includes a flexible, flat wire wound outer tubular coil 12 having an end effector assembly 13 mounted at a distal end 16 thereof. The end effector assembly 13 includes a clevis (jaw mount) 14 rotatably supporting a pair of jaws 18, 20. End effector wires 22, 24 extend through the tubular coil 12 and have distal ends 26 respectively coupled to the jaws 18, 20. A clip-advancing wire 30 extends through the tubular coil 12 and includes a distal end 32 provided with a clip pusher 34. A lubricious, preferably extruded, multilumen barrier sheath 36 extends through substantially the entire length of the outer tubular coil 12 and separates the end effector wires 22, 24 and clip-advancing wire 30 from each other and the outer tubular coil 12. A proximal handle assembly 40 is provided for moving the end effector wires 22, 24 and clip-advancing wire 30 relative to the tubular coil 12 to effect clamping and rotation of the jaws and advancement of a clip, as described in detail below.

[0018] Referring to Figs. 4 and 5, more particularly, the handle assembly 40 includes a housing defined by two shell portions 42, 44, a stationary handle 46, a jaw closing lever 48 linearly movable within a slot 50 in the housing and relative to the stationary handle 46, and a clip-advancing lever 52 rotatably mounted on the jaw closing lever 48 with a pivot pin 54. The jaw closing lever 48 is coupled to the end effector wires 22, 24, as described in detail below. The jaw closing lever 48 is biased into an

open position (away from the stationary handle 46) with a constant force spring 56 held in a distal portion of the housing such that the jaws 18, 20 are in an open configuration when no manual force is applied against the force of the spring 56 to move the jaw closing lever toward the stationary handle. The clip-advancing lever 52 is forced into an open position, also away from the stationary handle 46, with a torsion spring 58 (Figs. 4 and 7). The clip-advancing lever 52 is coupled to the clip-advancing wire 30, as discussed in detail below, with rotation of the clip-advancing lever 52 operating to move the clip pusher 34 at the distal end 32 of the clip-advancing wire 30 longitudinally within the tubular coil.

[0019] A tube 60 extends from the interior of the handle 40 to the exterior and includes a proximal rotation knob 62. The proximal end of the clip-advancing wire 30 is clamped, or otherwise held, within the tube 60 such that rotation of the knob 62 causes rotation of the entire clip-advancing wire. A distal end 64 of the tube 60 is rotatably coupled within a collar 66. The collar 66 is fixedly coupled to a rack 68. Linear movement of the rack 68 within the housing causes the tube to move longitudinally within and outside the housing.

[0020] Alternatively, referring to Fig. 4A, the tube 60 may be telescoping, having two rotationally interfering sections 60a and 60b, such that movement of the rack 68 moves a distal section 60a of the tube relative to a proximal section 60b, thereby maintaining a constant length for extension of the proximal section 60b of the tube outside the housing. The rotationally interfering portions, e.g., each having a hex shape, permit rotationally forces to be transmitted from the knob 62 to the distal end 64 of the tube.

[0021] Referring back to Fig. 4, a pinion 70 is rotatably mounted at 72 to an upper portion 74 of the clip-advancing lever 52 and positioned to act on the rack 68 when the clip-advancing lever 52 is rotated about pivot 54 toward the jaw closing lever 48, the rack 68 and the clip-advancing wire 30 are advanced. The rack 68 is preferably substantially longer than what is required by the number of teeth on the pinion 70. As a result, the pinion 70 can act upon the rack 68 in any location at which the jaw closing lever 48 may be positioned upon closing the jaws 18, 20. Thus, when the jaw closing lever 48 is pulled back toward the stationary handle 46 to effect closure of the jaws 18, 20 about tissue, the jaw closing lever 48 may be located at a location which is consistent with the thickness and consistency of the tissue about which the jaws are to be closed.

[0022] The teeth of the pinion 70 are preferably at a positive engagement angle relative to the teeth of the rack 68 because of the location of the pinion pivot axis 72. Then, when the pinion is rotated, the rack is moved longitudinally. A leaf spring 76 acts between the pinion 70, at hole 78, and the advancing lever 52 at shelf 77 to force the pinion 70 into the rack 68. After firing a clip, as discussed below, release of the clip-advancing lever 52

allows the spring 58 to return the lever 52 back to its unbiased position, and the pinion 70 rotates about the pinion axis 72 against the leaf spring 76 and over the rack 68.

- 5 [0023] Turning now to Figs. 6 through 8, the jaw closing lever 48 includes a spring activated catch system 80 which locks the jaw closing lever when a predetermined load is applied thereto rather than when the closing lever is located at any particular location. The catch system 80
  - 10 includes the following structures on an upper mount portion 82 of the jaw closing lever 48: a proximal spring mount 84; two spaced apart bolts 86, 88; and a locking tooth 90. The locking tooth 90 includes a proximal cam 92. The catch system 80 further includes the following
  - 15 additional structures: a latch 94 having a linear slot 96 and a cam slot 98, which are positioned over bolts 86, 88 respectively; an end effector wire mount 100 to which the proximal ends of the end effector wires 22, 24 are attached; an upper cam surface 102 for the below-described lever lock 110; and a spring catch 104. An extension spring 106 (Fig. 7) is held between the spring mount 84 and spring catch 104. A generally L-shaped lever lock 110 is rotatably coupled about a lever pivot 114 formed at the proximal end of the handle. An elongate portion 116 of the lock 110 includes a comb; i.e., the portion 116 includes a plurality of teeth 118, each of which include a distal camming surface 120. Another portion 122 of the lever lock 110 is provided with a release button 124 which extends outside of the handle housing. A torsion spring 130 is provided about the pivot 114 to bias the lever lock 110 down toward the locking tooth 90. A safety 132 is also provided to prevent release of the jaw closing lever 48 when the clip-advancing lever 52 is moved from an unbiased position, thereby preventing inadvertent release of unapplied clips.
  - 20 [0024] Once the jaws are closed about tissue, as discussed further below, it is desired to maintain their closed position until a clip is advanced over the tissue. In view of this object, the catch system 80 function as follows.
  - 25
  - 30 Still referring to Figs. 6 through 8, the cam surface 102 is generally adapted to position the teeth 118 of the lever lock 110 located in front of the locking tooth 90 above the locking tooth, such that the jaw closing lever 48 may be moved linearly. When the jaw closing lever 48 is moved toward the stationary lever 46, tension is increased in the end effector wires 22, 24 to move the jaws 18, 20 from an open position to a closed position. As the tension increases in the end effector wires 22, 24 and exceeds the tension of the extension spring 106, the latch
  - 35
  - 40
  - 45
  - 50
  - 55
- 94 moves distally relative to the jaw closing lever 48. Then, movement of the jaw closing lever 48 relative to the latch 94 causes the bolts 86, 88 to ride within the linear slot 96 and the camming slot 98, respectively. Referring to Fig. 8, movement of bolt 88 within camming slot 98 forces the proximal end of the latch 94 downward and permits the lever lock 110 to rotate clockwise. This causes the locking tooth 90 to engage the toothed portion 116 of the lever lock 110 and lock the position of the jaw clos-

ing lever 48. The load applied to the end effector wires is then limited to the force applied by the extension spring 106 (Fig. 7). The jaw closing lever 48 then may be released by pushing the release button 124 sufficiently to rotate the lever lock 110 against the bias of the torsion spring 130 and clear the locking tooth 90.

[0025] Turning now to Figs. 1, 2, 4 and 6, the distal end of the housing 42, 44 of the handle assembly 40 includes a slot 131 in which two preferably substantially rigid and preferably low friction tubes 133, 135, e.g., brass tubes, are provided. The proximal end 136 of the tubular coil 12 is coupled to the housing in alignment with the tubes 133, 135 with a flare nut coupling 138 or an equivalent assembly. The clip-advancing wire 30 extends from the rotation tube 60 through tube 133 and into a clip-advancing wire lumen 140 of the barrier sheath 36. The clip-advancing wire 30 extends therethrough to the distal end 16 of the tubular coil 12. The end effector wires 22, 24 extend from end effector wire mount 100 through tube 135 and into respective end effector wire lumina 142, 144 of the barrier sheath 36, and then extend therethrough to the distal end of the tubular coil. Wires 22, 24 and 30 are provided in separate lumina within the barrier sheath 36 in order to minimize friction between the wires and reduce buckling and kinking of the wires along the length of the tubular coil 12.

[0026] Turning again to Fig. 4A, rather than using tubes to direct the wires from the housing into the barrier sheath in tubular coil, the housing may be formed with channels which provide the same function. For example, channels 132a, 132b are adapted to direct the clip-advancing wire 30 and end effector wires 22, 24, respectively, into the barrier sheath 36 within the tubular coil 12. In addition, the housing may be formed with distal structure, e.g., a cylindrical protrusion 146, facilitating the coupling of a flare nut assembly thereto.

[0027] Referring back to Fig. 2, the tubular coil 12 is a preferably stainless steel (or other metal or metal alloy) flat wire wound wire tubular coil, though a round wire wound tubular coil may be used. The tubular coil 12 is fairly stiff such that the device can be pushed through the endoscope to the treatment area. The tubular coil 12 has a spring constant sufficiently high in order to resist uncoiling when subject to the tensile load created when the handle applies a pushing force to the clip-advancing wire and the clips, as discussed in more detail below, and minimize buckling during force transmission. In addition, the tubular coil 12 is preloaded such that each turn is substantially in contact with the adjacent turns 360° around the tubular coil. The outer diameter of the tubular coil 12 has an outer diameter smaller than the inner diameter of the working channel (lumen) of an endoscope for which it is intended, and the inner diameter of the tubular coil should be maximized so that it may readily accept the barrier sheath, and clip-advancing wire and end effector wires, as well as clips, as discussed below. In preferred embodiments, the tubular coil 12 of a device adapted for an endoscope having a 3.2 mm working

channel has an outer diameter preferably not exceeding approximately 3.175 mm (0.125 inch), and a preferably an inner diameter of at least approximately 0.90 mm (0.035 inch) so that it may accept the end effector wires

5 22, 24, clip-advancing wire 30, barrier sheath 36, and clips 202. That is, as shown in Fig. 11, the distal end of the coil defines a clip chamber 200 for storing a train of clips 202, as discussed in more detail below. The inner diameter of the coil 12 preferably corresponds to the  
10 transverse dimension of a clip 202, discussed below, so that the clip is stably directed through the chamber 200. The wire of the tubular coil 12 has a width W preferably between approximately 0.635 mm to 1.270 mm (0.025 inch to 0.050 inch), and a thickness T preferably at least  
15 approximately 0.13 mm to 0.75 mm (0.005 inch to 0.030 inch). The tubular coil length should at least be the length of the endoscope working channel, generally 150 cm to 250 cm. A substantial length of the tubular coil 12 is preferably covered in a high density polyethylene (HDPE)  
20 sheath 150 (Figs. 1, 2 and 2A).

[0028] The barrier sheath 36 within the tubular coil is preferably non-circular in shape to reduce contact points and thereby minimize friction between the barrier sheath and the tubular coil. The primary purpose of the sheath  
25 is to maintain a close fitting bearing surface for the clip-advancing wire, although its three distinct lumina help reduce friction between all the wires. The sheath 36 preferably free floats within the tubular coil; i.e., it is not attached to the tubular coil at its ends or along its length.  
30 Preferred cross-sectional shapes include generally rectangular and triangular (each with or without broken or rounded edges) and trefoil. The barrier sheath 36 is preferably an extrusion made from polypropylene, an FEP fluoropolymer resin (FEP), polytetrafluoroethylene (PTFE), high density polyethylene (HDPE), nitrol polyvinyl chloride, nylon, or any other lubricious polymer.

[0029] The clip-advancing wire 30 is preferably made of nickel-titanium alloy (NiTi) or stainless steel. The NiTi construction permits the clip-advancing wire 30 to transmit torque (by rotation of the rotation knob 62) without taking a cast, and with minimal whipping. The clip-advancing wire 30 has a sufficiently large diameter to transmit force, yet not so large that it is prevented from functioning through a tortuous path or fit within the tubular coil 12. A preferred diameter for the clip-advancing wire is approximately 0.375 mm to 0.89 mm (0.015 inch to 0.035 inch).

[0030] Referring to Figs. 2, 2A and 2B, the distal end 32 of the clip-advancing wire 30 has a non-circular cross-section, and is preferably rectangular in shape. The distal end 32 is preferably a length four to five times the length of the clip pusher 34. A coil connector 152 is coupled within the distal portion 16 of the tubular coil 12, e.g., by welding, press fitting, interference fit, pinning, etc., preferably approximately 25 mm to 50 mm from the distal end of the tubular coil (i.e., the length of a linear arrangement of five or so clips), and includes a central keyhole 156 having a non-circular cross section, and two end

effector channels 158 (only one shown) through which the end effector wires 22, 24 extend. The distal end 32 of the clip-advancing wire 30 can be longitudinally moved through the keyhole 156, with the transition 159 of the clip-advancing wire 30 from non-circular to circular outer shape functioning as a stop against the keyhole 156 for additional distal movement.

[0031] In the flexible clip applier 10 there is a need to know the precise location of the clip pusher 34. This is rendered difficult by a bending of the device, which alters the relative positions of the clip-advancing wire 30 relative to the coil 12. Thus, referring to Fig. 2B, the distal end 32 of the clip-advancing wire 30 also includes a ratchet mechanism partially defined by notches 250 along one side 251 of the distal end 32 which have a distal surface 252 substantially perpendicular to the side 251 and proximal beveled surface 254. The ratchet mechanism is also defined by a resilient pawl 256 on the coil connector 152. The pawl 256 is in alignment with the notches 250. When the clip-advancing wire 30 is moved distally through the keyhole 156, the pawl 256 rides against the beveled surface 254 of the notches 250, and bends for clearance. However, as distal surface 252 interferes with the pawl 256 when the clip-advancing wire 30 is moved proximally relative to the coil connector 152, the clip-advancing wire 30 may not be moved proximally by a distance which would cause a notch 250 to pass the pawl 256 (Fig. 2C). As such, after distal advancement of the clip-advancing wire and clip pusher and deployment of a clip, proximal retraction of the clip-advancing wire and clip pusher references the clip pusher to a precise predefined location.

[0032] Moreover, rotation of the clip-advancing wire 30 causes a rotational moment to be applied to the connector 152 and consequently the distal end of the tubular coil 12. The distal end of the preloaded tubular coil 12 can be thereby rotated 360° in each of the clockwise and counterclockwise directions by rotation of the rotation knob 62 attached to the proximal end of the clip-advancing wire 30. Because the end effector assembly 13 is attached to the distal end of the tubular coil, rotation of knob 62 effects rotation of the end effector assembly 13 and the jaws 18, 20.

[0033] The end effector wires 22, 24 are large enough in diameter to preferably handle up to fifteen pounds of closing force from the handle assembly and also to handle the force required to open the jaws 18, 20 without buckling. However, the end effector wires must be small enough in diameter to attach to the jaws, and fit in the tubular coil 12. A preferred diameter for the end effector wires is approximately 0.178 mm to 0.375 mm (0.007 inch to 0.015 inch), though other sizes may be used.

[0034] Referring to Figs. 9 and 10, the clevis 14 of the end effector assembly 13 is preferably coupled directly to the distal end of the tubular coil 12. The clevis 14 includes a central clip channel 164 having a preferably rectangular cross section, and two lateral openings 165 through which the distal ends of the end effector wires 26, 28 can respectively exit. The jaws 18, 20 are each

rotatably coupled about the clevis 14 with a respective axle 166 (one shown) which does not interfere with the channel 164. Each jaw 18, 20 includes a proximal tang 168, 169 respectively, which is coupled to the distal ends 5 of the respective end effector wires 26, 28. The distal portion of each jaw 18, 20 includes a clip guide 170, 172, respectively, and clamping surfaces 174, 176 on jaw 18, and clamping surfaces 178, 180 on jaw 20 extending along each side of the guide 172. All of the clamping surfaces 174, 176, 178, 180 preferably have proximally directed teeth 182 which pulls target tissue toward the clip channel 164 as the jaws are closed, and also securely grips the tissue when a clip is advanced thereover. The distal end of jaw 18 includes an anvil 184 which is in alignment with the clip guide 170 which curves (or is angled) toward jaw 20. Jaw 20 includes two distal anvil guides 186, 188 between which the anvil 184 is positioned when the jaws are moved to a closed position. Jaw 20 also defines a distal well 190 between the anvil guides 186, 188 which is lower than the surface of clip guide 172.

[0035] Referring to Fig. 11, the clip chamber 200 for storing a plurality of linearly arranged clips 202 (Fig. 2A), described further below, is formed between the coil connector 152 (Figs. 2 and 2A) and the distal end 16 of the tubular coil 12. The clip chamber 200 is aligned with the clip channel 164 of the clevis 14. The clip pusher 34 is provided at the proximal end of the chamber and situated to push on a proximalmost clip such that all clips in front 25 of the clip pusher 34 are advanced toward the jaws 18, 20 when the clip-advancing lever 52 is actuated to cause the clip-advancing wire 30 to move distally relative to the tubular coil 12.

[0036] The clip pusher 34, preferably made of stainless steel, is coupled to the distal end 32 of the clip-advancing wire 30, e.g., by mechanical joining or welding. The clip pusher 34, as described in more detail below, is provided with a shape substantially similar to the distal portion of a clip 202 (Fig. 2A) adapted to be used in the clip applier. Such clips 202 are described in detail in previously incorporated U.S. Serial No. 09/891,775. Generally, referring to Fig. 2A, the clips 202 are each in a generally U-shaped configuration with first and second arms 204, 206, and a bridge portion 208 therebetween. The first arm 204 extends into a deformable retainer 214 preferably having a tissue-piercing end 216 and preferably also a hook 218, and the second arm 206 is provided with a tip 210 preferably having one or more catches 212. The clip 202 is provided with structure that facilitates the stacking (or chaining) of a plurality of clips in the clip chamber 200. The structure includes: a notch 220 at a junction of the second arm 206 and the bridge portion 208 which is adapted to receive the tip 210 of the second arm 206 of another clip; an elongate recess 222 along 40 the exterior of the first arm 204 which is adapted to receive the retainer 214 of the first arm of another clip; and an interior configuration 224 at the ends of the first and second arms which corresponds to an exterior shape of the

proximal bridge portion 208 of another clip. In one embodiment, the clips 202 are each approximately 6.86 mm (0.27 inch) in length from the bridge 208 to the end of the retainer 214, have a width of approximately 0.90 mm (0.035 inch), and a height of 1.80 mm (0.070 inch). However, it is understood that the clip dimensions may be adapted for use in devices having tubular coil inner diameters of various sizes.

[0037] Referring to Figs. 2 and 2A, the clip pusher 34 includes a rear clip seat 228 which corresponds to the exterior shape of the proximal end of the clip. The clip pusher 34 also includes a distally extending arm 230 having a distal clip catch 232 (adapted to seat in the recess 222 of clip 202), and a shoulder 234 adjacent the clip seat 228 on the side opposite the arm 230. As such, the clip pusher 34 includes structure which is adapted to conform the proximal end of a clip 202 for transferring a pushing force relative to the tubular coil. In addition, the clip catch 232, by engaging in the recess 222 of a clip 202, prevents clips from unintentionally moving distally. The clip catch also permits moving a clip 202 proximally, by retracting the clip pusher 34 such that the clip catch 232 forces back against wall at the rear of the recess 222 and pulls the engaged clip proximally, which in turn moves other clips in the 'chain'. The operation of the distal portion of the device 10 (including the end effector assembly 13, the clip pusher 34, and the clip chamber 200) will become evident with reference to the following description of the use of the device 10.

[0038] Referring to Figs. 4 and 12, the jaw closing lever 48 is moved toward the stationary handle 46, against the bias of spring 56, to cause the jaws 18, 20 of the end effector 13 to move into a closed position. Movement of the lever 48 adapts, in size, the distal end of the device for delivery through the lumen (working channel) of an endoscope, but preferably does not substantially load the end effector wires 22, 24. Once the end effector assembly 13 has exited the distal end of the endoscope, the jaw closing lever 48 can be released to open the jaws (Fig. 1). Referring now to Fig. 13, the proximal rotation knob 62 can be rotated which, as discussed above, effects rotation of the entire clip-advancing wire 30 and, hence, rotation of the end effector assembly 13. Briefly, this is because the end effector assembly 13 is coupled to the tubular coil 12 and the tubular coil is provided with a fixed coil connector 152 which is rotated by rotation of the distal end 32 of the clip-advancing wire 30.

[0039] Turning now to Fig. 14, once the jaws 18, 20 of the end effector assembly 13 are positioned on either side of tissue (not shown) about which it is desired to place a clip 202 (Figs. 2 and 2A), the jaw closing lever 48 is again moved toward the stationary handle 46 to clamp the jaws about the tissue. The lever 48 is moved relatively further than shown in Fig. 12, as the wires 22, 24 will be under load to compress the tissue. Referring back to Figs. 9 and 10, the teeth 182 on the clamping surfaces 174, 176, 178, 180 of the jaws 18, 20 are angled proximally to pull the tissue into the jaws assembly and

securely hold the tissue against the distally directed force of an advanced clip. As the jaws close, the anvil 184 moves between the anvil guides 186, 188, and may partially or fully pierce the tissue.

5 [0040] Once the jaws are fully clamped about the tissue, the locking tooth 90 engages with the lever lock 110 as the latch 94 moves down to allow engagement and thereby lock the jaw closing lever 48 relative to the stationary handle 46, as discussed above with respect to Figs. 6 and 7. As discussed above, the jaws are locked based upon the load in the handle, rather than at any particular position. This permits locking the jaws about tissues of various thicknesses and compressive properties. Moreover, it is noted that when the jaws 18, 20 are 10 fully clamped, the end effector wires 22, 24 are placed under tension which provides compression to the tubular coil 12 such that the coil has an effectively higher tensile limitation before stretching.

[0041] Referring now to Figs. 15 and 16, after the jaws 20 are clamped about the tissue, the clip-advancing lever 52 is rotated about the pivot pin 54 to effect advancement of the clip-advancing wire 30 through the tubular coil 12. More particularly, as lever 52 is rotated toward the jaw closing lever 48, the pinion 70 engages the rack 68 to 25 move the rack relatively distally. As the proximal end of the clip-advancing wire 30 is longitudinally fixed relative to the rack 68, the distal end 32 of the clip-advancing wire 30 is consequently moved distally. Referring to Figs. 10 and 17, the pusher 34, at the distal end 32 of the clip-advancing wire 30 distally advances the clips 202a, 202b, 202c, 202d in the chamber 200, and particularly forces the distalmost clip 202a through the channel 164 in the clevis 14 and between the jaws 18, 20. As clip 202a is 30 further advanced, the first and second arms 204, 206 ride in guides 170, 172, respectively, and are forced over the tissue held between the jaws 18, 20. When the retainer 214 on the first arm 204 of the clip 202a is forced against the anvil 184, the retainer 214 is bent toward jaw 20; the tip 216 pierces the tissue between the jaws 18, 20 (or is 35 guided into the pierce hole made by the anvil 184 when the jaws clamped the tissue); and the tip 216 enters the well 190 at the distal end of jaw 20 to extends around the tip 210 of the second arm 206 which overhangs the well. The hook 218 at the tip 216 of the retainer 214 may 40 engage (although it does not necessarily engage), the latch 212 at the distal end of the second arm 206. The force provided by the clip-advancing wire 30 to advance a clip 202 over the clamped tissue, to bend the retainer 214 against the anvil 184, and to force the tip 216 of the retainer to pierce tissue is at least 500 grams (1.1 lbs), and more typically approaches 1500 grams (3.3 lbs) or higher.

[0042] Referring now to Figs. 6 and 18, after the clip is applied, the jaws 18, 20 are released from about the tissue. This is done by pressing the release button 124 of the lever lock 110 such that the jaw closing lever 48 is permitted to move relative to the stationary handle 46. 50 [0043] Referring to Fig. 19, the clip is then released

from the end effector jaw assembly by moving the jaw assembly relative to the applied clip 202a. The end effector assembly may then be moved to another tissue location to apply additional clips.

[0044] It is noted that after clip 202a is released, the retainer 214b of clip 202b partially extends into the space between the jaws 18, 20. If not retracted, this retainer 214b would obstruct positioning the jaws 18, 20 about the tissue and subsequent clip application during the procedure. However, when the clip-advancing lever 52 is released, torsion spring 58 (Fig. 4) operates to pull back the clip-advancing wire 30 and the clip pusher 34 and thereby retract the 'chain' of clips. That is, the clip catch 232 of the clip pusher pulls back on clip 202d, and the retainer 214d of clip 202d pulls back clip 202c, and so on, until the extending retainer 214b is pulled within the chamber 164 of the clevis, and the space between the jaws 18, 20 is cleared, as shown in Figs. 20 and 21. The clip-advancing wire is limited in the distance by which it can be retracted; it may be retracted only so far as permitted by interference of a ridge 250 on the clip-advancing wire 30 located just distal the catch 256 of the coil connector 152, and the catch 256 (Fig. 2B), which is constructed to be approximately the length of the protruding retainer 214b.

[0045] The device may then be used to apply another clip, or the jaws may be closed and the device may be withdrawn through the endoscope.

[0046] The resulting clip applier is capable of transmitting a pushing force at the distal end of the clip-advancing wire, resulting from the compressive force applicable to the clip-advancing wire and the relative tensile force applicable to the outer tubular coil and end effector wires, far in excess of the perceived threshold of the 200 grams (0.44 lbs) in the prior art. In fact, as discussed below, one embodiment of the device of the invention provides a pushing force in excess of 2267 grams (5 lbs).

[0047] More particularly, referring to Fig. 22, a table listing part dimensions of six prototype device, and the resultant output forces achieved with prototype devices is provided. Fig. 23 provides an efficiency plot (input pushing force v. output pushing force) for the use of the prototypes. In all prototypes, the tubular coil, clip-advancing wire, and end effector wires are made from stainless steel. Details of the table and the efficiency plot are discussed below with respect to Examples 1 through 6.

#### EXAMPLE 1

[0048] In a first prototype, indicated by 'RUN #1', 'RUN #2' and 'RUN #3', the tubular coil 12 has an outer diameter of 0.09 inch and an inner diameter of 0.06 inch. The clip-advancing wire 30 has an outer diameter of 0.017 inch and the end effector wires 22, 24 each have an outer diameter of 0.011 inch. The proximal end of the end effector wires 22, 24 are pulled with 11 lbs of force which generally results in 5 to 10 lbs of force at the distal end of the end effector wires, depending on the degree to

which the tubular coil 12 is bent (modeled by looping the tubular coil through two inch loops); i.e., frictional losses reduce the transmitted force. Moreover, it is noted that whatever force is transmitted to the distal end of the end effector wires 22, 24, only approximately one-fifth of that

5 force is applied to the jaws, as the distance from the jaw tang 168 to the pivot 166 is relatively shorter than the length of the end of the jaw (anvil 184) to the pivot 166, approximately in a one to five ratio. As such, an input  
10 force of 11 lbs may result in one to two lbs of force on the jaws 18, 20. Applying the pulling force simulates the in-use condition in which the pushing force is transmitted.  
15 [0049] With the tubular coil 12 extending relatively straight (i.e., through no loops) in 'RUN #1', an input pushing force of 8 lbs on the proximal end of the clip-advancing wire 30 (i.e., a pushing force of 8 lbs on the rack 68) resulted in an output pushing force of 3.82 lbs (1732.7 grams) at the clip pusher 34 at the distal end 32 of the clip-advancing wire 30. With the tubular coil 12 extending  
20 through one two-inch loop in 'RUN #2', an input pushing force of 8 lbs resulted in an output pushing force of 3.42 lbs (1551.3 grams). With the tubular coil 12 extending through two two-inch loops, in 'RUN #3', an input pushing force of 7 lbs resulted in an output pushing force of 3.37  
25 lbs (1528.6 grams).

#### EXAMPLE 2

[0050] In a second prototype, indicated by 'RUN #4',  
30 the diameters of the tubular coil 12 and end effector wires 22, 24 are the same as Example 1. However, the diameter of the clip-advancing wire 30 is decreased to 0.015 inch. With the tubular coil 12 extending through no loops, a six pound input pushing force resulted in an output  
35 pushing force of 2.11 lbs (957 grams).

#### EXAMPLE 3

[0051] In a third prototype, indicated by 'RUN #5', 'RUN  
40 #6' and 'RUN #7', the diameters of the tubular coil 12 and end effector wires 22, 24 are the same as Example 1. However, the diameter of the clip-advancing wire 30 is increased to 0.02 inch. With the tubular coil 12 extending through no loops in 'RUN #5', an input pushing force of  
45 8 lbs resulted in an output pushing force of 4.03 lbs (1828 grams). With the tubular coil 12 extending through one two-inch loop in 'RUN #6', an input pushing force of 8 lbs resulted in an output pushing force of 4.08 lbs (1851 grams). With the tubular coil extending through two two-  
50 inch loops, in 'RUN #7', an input pushing force of 8 lbs resulted in an output pushing force of 3.54 lbs (1605.7 grams).

#### EXAMPLE 4

[0052] In a fourth prototype, indicated by 'RUN #8' and  
55 'RUN #9', the device includes a tubular coil 12 having an outer diameter of 0.086 inch and an inner diameter of .

053 inch, a clip-advancing wire 30 having a diameter of 0.017 inch, and end effector wires 22, 24 having diameters of 0.009 inch. With the tubular coil extending through no loops, an input pushing force of 8 lbs resulted in 4.61 lbs (2091 grams) of output pushing force. With the tubular coil extending through two two-inch loops, an input pushing force of 8 lbs resulted in 4.28 lbs (1941.3 grams) of output pushing force.

#### EXAMPLE 5

[0053] In a fifth prototype, indicated by 'RUN #10', the clip-advancing wire 30 and end effector wires 22, 24 of the device 10 have the same diameters as Example 4. The tubular coil 12 has an outer diameter of 0.086 inch and an inner diameter of 0.054 inch. With the tubular coil 12 extending through no loops, an input pushing force of 8 lbs resulted in 4.42 lbs (2004.9 grams) of output pushing force.

#### EXAMPLE 6

[0054] In a sixth prototype, indicated by 'RUN #11', the clip-advancing wire 30 and end effector wires 22, 24 of the device 10 have the same diameters as Example 4. The tubular coil 12 has an outer diameter of 0.083 inch and an inner diameter of 0.054 inch. With the tubular coil 12 extending through no loops, an input pushing force of 8 lbs resulted in 5.17 lbs (2345 grams) of output pushing force.

[0055] Other flexible clip applicators suitable for use through a relatively smaller 2.6 mm diameter endoscope have also been constructed and tested. For example, one clip applicator has a tubular coil 12 with an outer diameter of 0.092 inch, and an inner diameter of 0.060 inch, a clip-advancing wire 30 with a diameter of 0.022 inch, and end effector wires 22, 24 each with a diameter of 0.013 inch. The device can apply a pushing force of between 3 lbs (1361 grams) and 5 lbs (2268 grams) depending on the number of two-inch loops through which the tubular coil was wound.

[0056] It is therefore appreciated that other dimensions may be used for devices intended for use in endoscopes having working channels of other sizes. Moreover, the device may be used outside an endoscope, where it is not limited by the size of the working channel.

[0057] There are also alternative embodiments to various aspects of the device. For example, other ratchet mechanisms and clip chambers can be used. Referring to Figs. 24 through 27, a second ratchet mechanism and second clip chamber according to the invention is shown. The ratchet mechanism includes ratchet 300 defined in the distal end 302 of the clip-advancing wire 30. The ratchet 300 includes a plurality of alternating teeth 334 and notches 336 defined by shoulders 338 and ramps 340. A longitudinal lower slot 304 is also defined in the distal end 302 of the wire. The teeth, notches, and slot may be machined into the wire 30. The distal end 302 of

the wire 30 is provided with a clip pusher 34. The distal end 302 of the wire 30 is preferably coupled to the clip pusher 34 by posts 342 extending through corresponding holes 344 in each.

- 5 [0058] The distal end 16 of the coil 12 is provided with a pawl mount 346. A second flexible tubular member 310, approximately one to three inches in length, extends between the pawl mount 346 and the jaw assembly 13 to define a clip chamber 320. The second tubular member 310 may be a section of a wire coil, preferably similar in construction to coil 12. Alternatively, the second tubular member may be of a substantially different construction, as described in detail below with respect to Figs. 26 and 27. Regardless, the pawl mount 346 preferably has substantially the same outer diameter as coil 12 and second tubular member 310. The pawl mount 346 is fixedly coupled to the distal end 16 of the coil 12 and the second tubular member 310, preferably by crimping or welding.
- 10 [0059] The pawl mount 346 defines first and second circumferential grooves 348, 350. A first ring 352 is provided in the first groove 348 and includes a portion extending substantially radially inward to define a resilient ratchet pawl 354. The ratchet pawl 354 extends into one of the notches 336 of the ratchet 300. When the clip-advancing wire 30 is moved distally relative to the coil 12, the ratchet pawl 354 rides up a ramp 340 and moves into a relatively proximal notch 336. When the clip-advancing wire 30 is moved proximally relative to the coil 12, the ratchet pawl 354 will abut against a distally-adjacent shoulder 338 to limit proximal movement to a predetermined maximum amount regardless of the longitudinal location of the clip pusher 34 within the clip chamber 320. A second ring 356 is provided in the second groove 350 and includes a portion extending substantially radially into the slot 304. The ratchet pawl 354 and alignment pawl 358 together prevent rotation of the distal end 302 of the wire 300 relative to the pawl mount 346. Thus, any torque provided to the clip-advancing wire 300 will be transferred to the mount 346 and then to the distal end 16 of the coil 12. The coil 12 will unwind when subject to the torque and effect rotation of the distal end effector assembly 13 corresponding to the input torque.
- 15 [0060] Turning now to Figs. 28 and 29, an alternate construction for the second tubular member 310 is provided as a one piece helically cut, e.g. by laser, metal or metal alloy tube 310a. In order to constrain the cut tube 310a from elongating under tensile load or from unwinding when subject to torque, each helical turn, e.g. turn 370a, is mechanically coupled to an adjacent turn, e.g. turn 372a, via a bridge (or link) 374a extending from one turn 370a which is permanently interlocked in a space 376a in the adjacent turn 372a. The bridges extend substantially parallel to a longitudinal axis A of the tube 310a and are preferably omega-shaped ( $\Omega$ ) with a wide free end and a narrow neck. As such, the mechanical interlocks are similar to interlocked pieces of a jigsaw puzzle. Preferably one or more such bridges are provided to each turn. A non-integer number of bridges may be provided

to any turn, and a non-integer number of bridges fewer than one may also be provided to one or more turns. The tube 310a preferably has a non-circular interior cross-sectional shape such as cruciform. Such a shape holds a train of clips therein in a desired orientation. That is, clip 202 (shown in broken lines) and all other clips in the clip chamber 320a cannot rotate about the longitudinal axis of the chamber and thus will be properly aligned for advancement into the jaw assembly 13 even as the tube 310a is torqued. Moreover, such shape provides lateral channels 378a, 379a through which control wires can be located.

[0061] In view of the above, the clevis 14 of the jaw assembly 13 can be coupled to the distal end of the second tubular member 310 or 310a (Fig. 26) or 310a (Fig. 28).

[0062] Turning now to Figs. 30 and 31, a third embodiment of a ratchet mechanism is shown. The ratchet mechanism includes two preferably hermaphroditic ratchet brackets 430, 432 provided in and coupled to the distal end 16 of the coil 12. The brackets 430, 432 together define a substantially rectangular space therebetween which operates as a clip chamber 420 for feeding the clip train in a set orientation toward the jaw assembly 513 (an alternate embodiment of jaw assembly 13, discussed in more detail below). Jaw assembly 13 may also be used. The distal end of each bracket includes a post 450 adapted to engage a clevis 514 of the jaw assembly 513 and properly position the chamber 420 relative to the clevis. Each bracket 430, 432 also includes several longitudinally-displaced pairs of resilient arms 434. The arms 434 are connected at their proximal ends 436 to the brackets, with their distal ends 438 biased into the clip chamber between the brackets. The clip pusher 34 is advanceable through the clip chamber 420 past the arms 434 such that the arms 434 are forced laterally against their bias. As the clip pusher 34 is advanced past each pair of arms on the brackets 430, 432, the distal ends 438 of the arms once again enter the clip chamber 420 and limit retraction of the clip pusher. Thus, each time the clip-advancing wire 30 and clip pusher 34 are advanced to deploy a distalmost clip 202, the clip pusher 34 may be retracted only to a location defined by the distal ends 438 of the arms 434 proximally adjacent the clip pusher.

[0063] Referring now to Figs. 32 and 33, an alternate embodiment of a jaw assembly 513 is shown. The jaw assembly is substantially similar to the jaw assembly 13, with the following modifications. The proximal portion of the jaws and the distal portion of the clevis have an enlarged combined circumference relative to the remainder of the jaw assembly. That is, at the location of the jaw pivot 566 a circumferential ridge 592 is defined by the jaws 518, 520 and the clevis 514. This ridge 592 provides the jaw assembly 513 with sufficient structural integrity at the location of the ridge 592 so that the jaw pivot holes 594 and the jaw tang holes 596, 598 (at which control wires 22, 24 are attached to the jaws) may be located relatively farther apart than with respect to jaw assembly

13. Without the ridge 592, the jaws 518, 520 and clevis 514 would be unable to define the pivot and tang holes at the shown locations. By locating the pivot and tang holes 594, 596, 598 at the ridge 592, the lever arm between the pivot holes and the holes is increased in length, providing a significant increase in mechanical advantage when opening and closing jaws 518, 520. This mechanical advantage facilitates compression of tissue between the jaws. Where each of the jaws 518, 520 has an overall

length L of approximately 0.450 inch (11.4 mm), a jaw cup length C of approximately 0.364 inch (9.2 mm), and a distal diameter D<sub>1</sub> of approximately 0.126 inch (3.2 mm), the diameter D<sub>2</sub> across the ridge is preferably approximately 0.138 inch (3.5 mm). That is, the diameter D<sub>2</sub> is preferably approximately 0.012 inch (0.3 mm) or slightly more than nine percent (9%) larger than D<sub>1</sub>. The distance between the centers of the pivot hole and the tang hole, and thus the length of the lever arm L<sub>A</sub> (the vertical component) (Fig. 32), is approximately 0.066 inch (1.67 mm). In jaw assembly 13, the length of the lever arm is approximately 0.043 inch (1.09 mm). Thus, jaw assembly 513 provides an approximately fifty percent increase in mechanical advantage over jaw assembly 13.

[0064] Significantly, the diameter D<sub>2</sub> across ridge 592 is approximately the same as the diameter of the lumen of the endoscope for which the clip applier 10 is intended; i.e. a 3.5 mm diameter ridge for a 3.5 mm diameter lumen. Thus, the ridge is within five percent of the diameter of the endoscope lumen, and larger than the remainder of the end effector by preferably five to fifteen percent.

[0065] It is recognized that it would not be possible to increase the mechanical advantage by increasing the entire diameter of the jaw assembly to approach the diameter of the endoscope lumen, as such would result in frictional forces between jaw assembly and the lumen of the endoscope which would essentially prohibit tracking the instrument through the endoscope. However, by providing a relatively small surface area with the relatively larger diameter, the resulting increase in frictional force

is relatively small so as to not substantially interfere with movement of the instrument through the endoscope. For larger or smaller instruments, a ridge of similar proportion (i.e., up to fifteen percent greater than the remaining diameter) can likewise be provided for similar advantage.

[0066] From the foregoing embodiments and examples, it will be appreciated that a flexible surgical clip applier, suitable for use through an endoscope is hereby provided. The device is capable of effecting a pushing force far in excess of the previously considered limitation of approximately 200 grams for a mechanical system sized to be used through an endoscope. See C. Paul Swain, "What Endoscopic Accessories Do We Really Need?", Emerging Technologies in Gastrointestinal Endoscopy, Gastrointest. Endosc., Vol. 7, No. 2, pp. 313-330 (April 1997), discussed above. This substantial force permits clips to be forced over tissue and thereby makes available clip clamping, closure, and 'suturing' in an endoscopic procedure.

[0067] There have been described and illustrated herein embodiments of a flexible surgical clip applier. While particular embodiments of the invention have been described, it is not intended that the invention be limited thereto, the scope of the invention being defined by the appended claims. Thus, while particular materials have been disclosed, it will be appreciated that other materials can be used as well. In addition, while particular dimensions have been disclosed, it will be understood that other suitable dimensions can be used as well. Also, while the device has particularly been described for use in endoscopic procedures, where a great need exists for such a device, it will be appreciated that flexible, non-endoscopic devices are considered within the scope of the invention. For example, the tubular coil may have a substantially shorter length and the device may be used through body orifices such as the ear canal, the nasal passages, and through the larynx and trachea. By way of another example, elements of the device may have substantially larger dimensions and the device can be used through a trocar port. Furthermore, while both jaws are shown rotatable about a clevis, it will be appreciated that only one jaw need be rotatable relative to the other. Also, while two clip guides, one on each jaw, are shown, it is recognized that only a single clip guide on one of the jaws is required. Moreover, while the device of the invention is described as having two end effector wires, it will be appreciated that a single control wire may be used which is coupled to at least one of the jaws, and the other jaw may be stationary or mechanically linked to also close and open upon actuation of the single end effector wire. Also, while the device has been described with respect to a clip-advancing wire and end effector wires, it will be appreciated that reference to the 'wires' is intended to also include non-metal filaments, multifilamentary constructs, such as cables, and coils. In addition, while the end effector wires when subject to a tensile force create a compressive force on the tubular coil which effectively increases its tensile capability to facilitate pushing a clip over clamped tissue without exceeding the tensile limitation of the coil, it is recognized that other mechanisms may be used to increase the tensile limitation of the coil. For example, a preferably flat and preferably wire ribbon may be coupled to the inside the coil to limit the amount by which the coil can be stretched. Furthermore, while the ability to provide a relative high pushing force at the distal end of a clip-advancing wire is disclosed with respect to a clip applier, it is recognized that such capability has application to instruments other than clip applicators; for example, for endoscopic staplers, lithotriptors, or any other instrument where it is desired to hold tissue and apply a pushing force, such as a device for tagging. It will therefore be appreciated by those skilled in the art that yet other modifications could be made to the provided invention without deviating from its scope as claimed.

## Claims

## 1. A jaw assembly (513), comprising:

- 5           a) a clevis (514) having proximal and distal portions, said distal portion defining a pivot axis;  
              b) a first jaw (518) coupled to said clevis (514);  
              and  
              c) a second jaw (520) having a proximal tang and being rotatably coupled to said clevis (514) about said pivot axis (566),

10           wherein said first and second jaws define a first outer diameter (D1) of said jaw assembly, characterised in that:

15           said second jaw (520) defines a second outer diameter (D2) at a location through which said pivot axis (566) extends larger than said first outer diameter (D1).

## 2. A jaw assembly according to claim 1, wherein:

20           said first jaw (518) includes a proximal tang (598) and is rotatably coupled to said clevis (514) about said pivot axis (566).

## 3. A jaw assembly according to any preceding claim, wherein:

25           said proximal portion of said clevis (514) is provided with said first outer diameter (D1).

## 4. A jaw assembly according to any preceding claim, wherein:

30           said second outer diameter (D2) is between five and fifteen percent larger than said first outer diameter (D1).

## 5. A jaw assembly according to any preceding claim, wherein:

35           said first jaw (518) has proximal and distal portions; and  
              said second jaw (520) has proximal and distal portions, said proximal portion of said second jaw (520) defining said pivot axis (594) about which said second jaw (520) is rotatable,

40           wherein said proximal portions of said first and second jaws (518, 520) define a circumferential ridge (592) about said jaw assembly (513) extending radially beyond said distal portions of said first and second jaws.

## 6. A jaw assembly according to claim 5, wherein:

- said ridge (592) extends radially beyond said clevis (514).
7. A jaw assembly according to claim 5 or claim 6, wherein:  
 5  
 said ridge (514) has said second outer diameter (D2), and said proximal portion of said second jaw (520) defines a tang hole (596),  
 10  
 wherein a distance between said pivot axis (594) and said tang hole (596) is greater than structurally possible if said ridge was of said first outer diameter (D1).  
 8. A jaw assembly according to any preceding claim, wherein:  
 15  
 said second outer diameter (D2) is at least five percent larger than said first outer diameter (D1).  
 9. A jaw assembly according to claim 8, wherein:  
 20  
 said second outer diameter (D2) is less than fifteen percent larger than said first outer diameter (D1).  
 10. A jaw assembly according to any preceding claim, wherein:  
 25  
 said second outer diameter (D2) is approximately 3.5 mm.  
 11. A jaw assembly according to any preceding claim in combination with:  
 30  
 a tubular member (16) having proximal and distal ends, said distal end coupled to said jaw assembly (513); and  
 actuation means (22, 24, 40) for moving said second jaw (20) relative to said first jaw (18).  
 12. An endoscopic system, comprising:  
 35  
 a) an endoscope having a working channel with a first diameter; and  
 b) an endoscopic instrument (10) extending at least partially within said working channel, said endoscopic instrument including a distal jaw assembly (513) of any preceding claim.  
 40  
**Patentansprüche**  
 1. Klemmbackenanordnung (513) umfassend:  
 45  
 a) ein Anschlußstück (514) mit einem proximalen und einem distalen Abschnitt, der eine Schwenkachse definiert;  
 50  
 b) eine erste Backe (518), die an dem Anschlußstück (514) gekoppelt ist; und  
 c) eine zweite Backe (520), die einen proximalen Mitnehmer aufweist und drehbar an dem Anschlußstück (514) um die Schwenkachse (566) herum gekoppelt ist,  
 55  
 wobei die erste Backe und die zweite Backe einen ersten Außendurchmesser (D1) der Klemmbackenanordnung definiert, dadurch gekennzeichnet, daß:  
 die zweite Backe (520) einen zweiten Außendurchmesser (D2) an einer Stelle definiert, durch welche sich die Schwenkachse erstreckt, wobei der zweite Außendurchmesser (D2) größer als der erste Außendurchmesser D1 ist.  
 2. Klemmbackenanordnung nach Anspruch 1, bei der:  
 20  
 die erste Backe (518) einen proximalen Mitnehmer (598) umfaßt und mit dem Anschlußstück (514) drehbar um die Schwenkachse (566) gekoppelt ist.  
 3. Klemmbackenanordnung nach einem vorangegangenen Anspruch, bei der:  
 25  
 der erste proximale Abschnitt des Anschlußstücks (514) mit dem ersten Außendurchmesser (D1) versehen ist.  
 4. Klemmbackenanordnung nach einem vorstehenden Anspruch, bei der:  
 30  
 der zweite Außendurchmesser (D2) zwischen fünf und 15 % größer als der erste Außendurchmesser (D1) ist.  
 5. Klemmbackenanordnung nach einem vorstehenden Anspruch, bei der:  
 35  
 die erste Backe (518) einen proximalen Abschnitt und einen distalen Abschnitt aufweist, und die zweite Backe (520) einen ersten proximalen Abschnitt; und  
 die zweite Backe (520) einen proximalen Abschnitt und einen distalen Abschnitt aufweist, wobei der proximale Abschnitt die Schwenkachse (594) definiert, um welche die zweite Backe (520) drehbar ist,  
 40  
 wobei die proximalen Abschnitte der ersten und zweiten Backe (518, 520) einen Umfangssteg (592) um die Klemmbackenanordnung (513) herum definieren, wobei sich der Umfangssteg radial über die distalen Abschnitte der ersten und zweiten Backe hinaus erstreckt.

		Revendications
6.	Klemmbackenanordnung nach Anspruch 5 bei der:	
	Sich der Steg (592) radial über das Anschlußstück (514) hinaus erstreckt.	
7.	Klemmbackenanordnung nach Anspruch 5 oder 6, bei der:	
	der Steg (514) den zweiten Außendurchmesser (D2) aufweist und der proximale Abschnitt der zweiten Backe (520) ein Mitnehmerloch (596) definiert,	
	wobei ein Abstand zwischen der Schwenkachse (594) und dem Mitnehmerloch (596) größer als strukturell möglich ist, falls der Steg den ersten Außendurchmesser D1 ist.	
8.	Klemmbackenanordnung nach einem vorstehenden Anspruch, bei der:	
	der zweite Außendurchmesser (D2) wenigstens 5% größer als der erste Außendurchmesser (D1) ist.	
9.	Klemmbackenanordnung nach Anspruch 8, bei der:	
	der zweite Außendurchmesser (D2) weniger als 5% größer als der erste Außendurchmesser (D1) ist.	
10.	Klemmbackenanordnung nach einem vorstehenden Anspruch, bei der:	
	der zweite Außendurchmesser (D2) ungefähr 3,5 mm ist.	
11.	Klemmbackenanordnung nach einem vorstehenden Anspruch in Kombination damit, daß ein Rohrteil (16) ein proximales Ende und ein distales Ende aufweist, das an der Klemmbackenanordnung (513) gekoppelt ist; und eine Betätigungsseinrichtung (22, 24, 40) zum Bewegen der zweiten Backe 20 relativ zu der ersten Backe (18) vorgesehen ist.	
12.	Endoskopisches System mit:	
	a) einem Endoskop, das einen Arbeitskanal mit einem Außendurchmesser aufweist; und b) ein endoskopische Gerät (10), das sich im wesentlichen teilweise oberhalb des Arbeitskanals erstreckt, wobei das endoskopische Gerät eine distale Klemmbackenanordnung nach einem vorstehenden Anspruch umfaßt.	
	5	1. Ensemble de pinces (513), comprenant :
		a) un maillon d'attache (514) ayant des parties proximale et distale, ladite partie distale définissant un axe de pivot ;
	10	b) une première pince (518) accouplée audit maillon d'attache (514) ; et
		c) une seconde pince (520) ayant un tenon proximal et étant accouplée de manière à pouvoir pivoter audit maillon d'attache (514) autour dudit axe de pivot (566),
	15	dans lequel lesdites première et seconde pinces définissent un premier diamètre extérieur (D1) dudit ensemble de pinces, caractérisé en ce que :
		ladite seconde pince (520) définit un second diamètre extérieur (D2) à un endroit à travers lequel ledit axe de pivot (566) s'étend plus largement que ledit premier diamètre extérieur (D1).
	20	2. Ensemble de pinces selon la revendication 1, dans lequel:
		■ ladite première pince (518) comprend un tenon proximal (598) et est accouplée de manière à pouvoir pivoter audit maillon d'attache (514) autour dudit axe de pivot (566).
	25	3. Ensemble de pinces selon l'une quelconque des revendications précédentes, dans lequel :
		■ ladite partie proximale dudit maillon d'attache (514) possède ledit premier diamètre extérieur (D1).
	30	4. Ensemble de pinces selon l'une quelconque des revendications précédentes, dans lequel :
		■ ledit second diamètre extérieur (D2) est entre cinq et quinze pour cent supérieur audit premier diamètre extérieur (D1).
	35	5. Ensemble de pinces selon l'une quelconque des revendications précédentes, dans lequel :
		■ ladite première pince (518) a des parties proximale et distale ; et
	40	■ ladite seconde pince (520) a des parties proximale et distale, ladite partie proximale de ladite seconde pince (520) définissant ledit axe de pivot (594) autour duquel ladite seconde pince (520) peut pivoter,
	45	dans lequel lesdites parties proximales desdites première et seconde pinces (518, 520) définissent un

bord périphérique (592) autour dudit ensemble de pinces (513) s'étendant radialement au-delà desdites parties distales desdites première et seconde pinces.

5

6. Ensemble de pinces selon la revendication 5, dans lequel :

■ ledit bord (592) s'étend radialement au-delà dudit maillon d'attache (514). 10

7. Ensemble de pinces selon la revendication 5 ou 6, dans lequel :

■ ledit bord (514) possède ledit second diamètre extérieur (D2), et ladite partie proximale de ladite seconde pince (520) définit un trou de tenon (596), 15

■ dans lequel une distance entre ledit axe de pivot (594) et ledit trou de tenon (596) est plus grande que structurellement possible si ledit bord était dudit premier diamètre extérieur (D1). 20

8. Ensemble de pinces selon l'une quelconque des revendications précédentes, dans lequel : 25

■ ledit second diamètre extérieur (D2) est au moins cinq pour cent supérieur audit premier diamètre extérieur (D1). 30

9. Ensemble de pinces selon la revendication 8, dans lequel :

■ ledit second diamètre extérieur (D2) est moins de quinze pour cent supérieur audit premier diamètre extérieur (D1). 35

10. Ensemble de pinces selon l'une quelconque des revendications précédentes, dans lequel : 40

■ ledit second diamètre extérieur (D2) est approximativement de 3,5 mm.

11. Ensemble de pinces selon l'une quelconque des revendications précédentes en association avec : 45

■ un élément tubulaire (16) ayant des extrémités proximale et distale, ladite extrémité distale accouplée audit ensemble de pinces (513) ; et  
■ des moyens d'actionnement (22, 24, 40) destinés à déplacer ladite seconde pince (20) relativement à ladite première pince (18). 50

12. Système endoscopique, comprenant :

55

a) un endoscope ayant un canal opérateur avec un premier diamètre ; et  
b) un instrument endoscopique (10) s'étendant

au moins partiellement à l'intérieur dudit canal opérateur, ledit instrument endoscopique comprenant un ensemble de pinces distal (513) selon l'une quelconque des revendications précédentes.

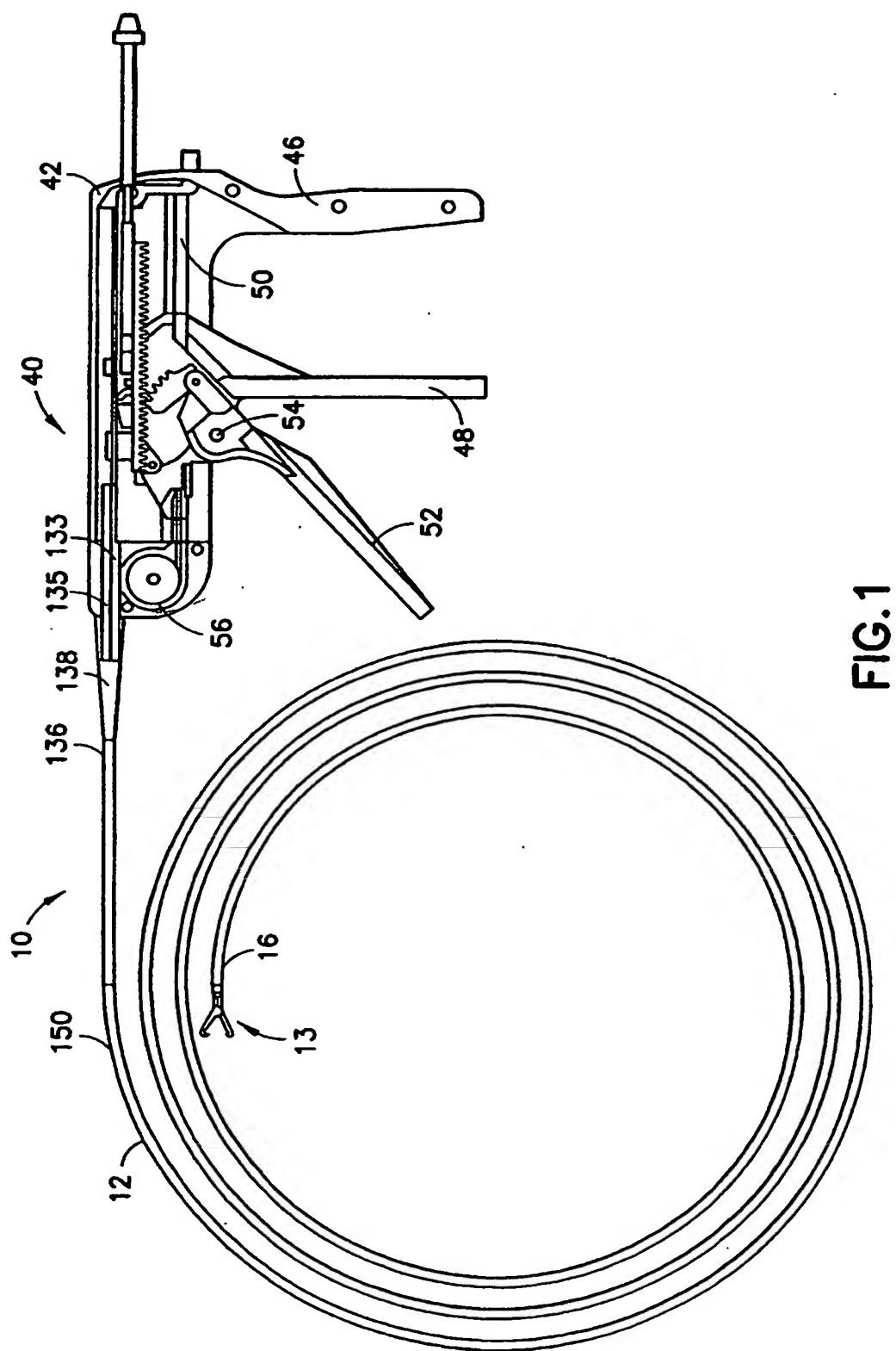


FIG.1

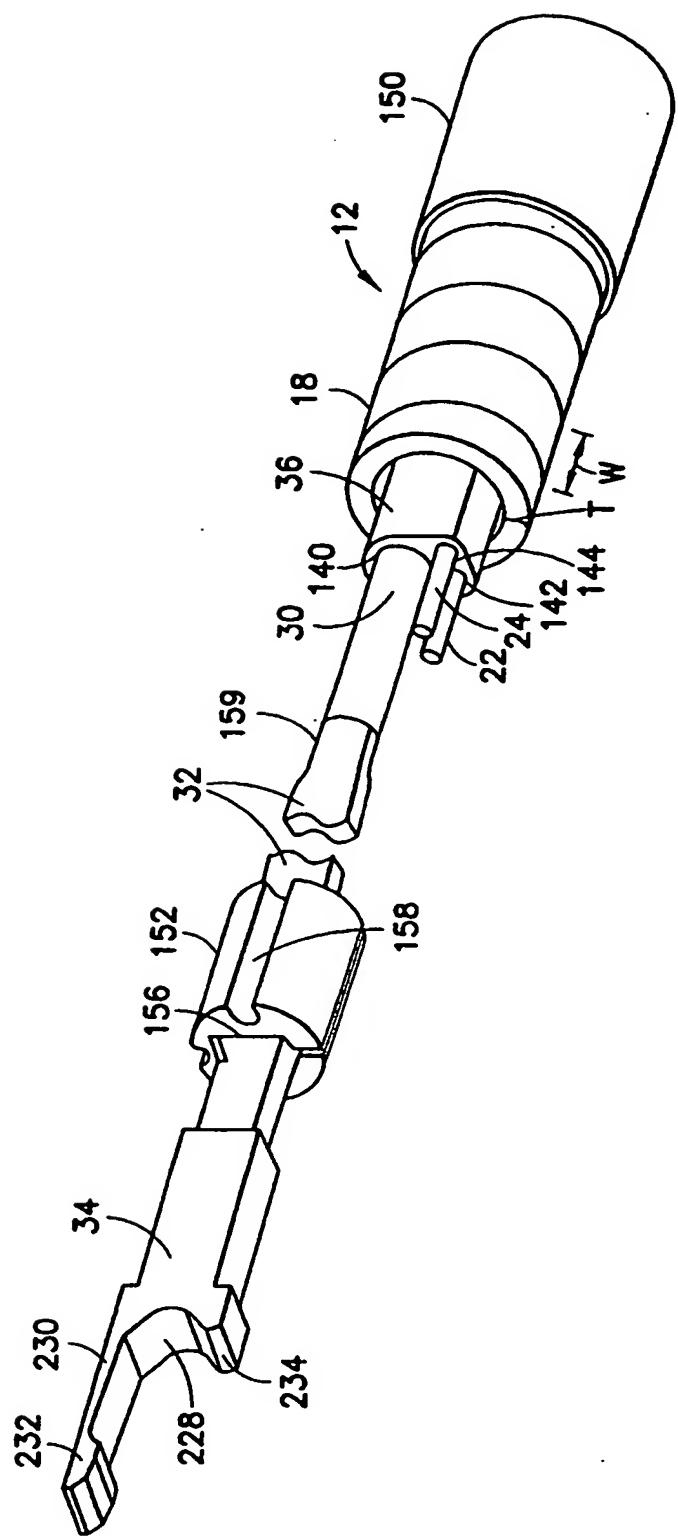


FIG.2

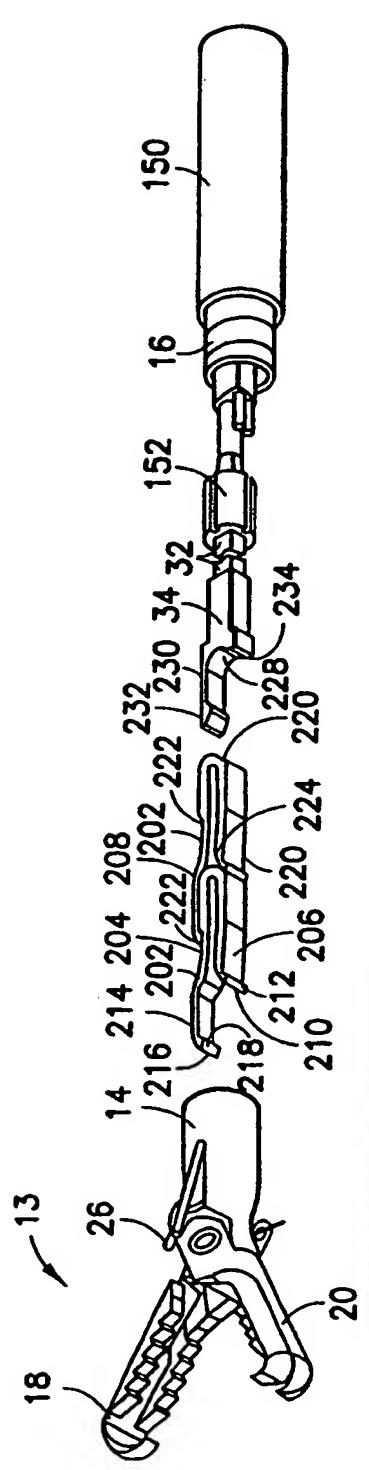


FIG. 2A

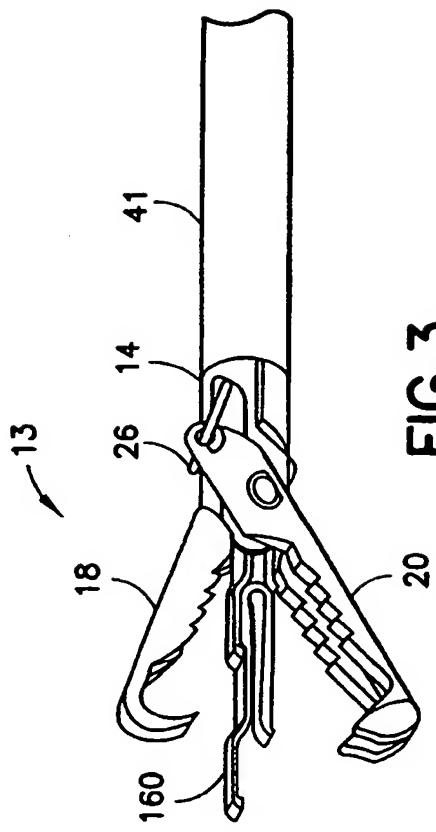
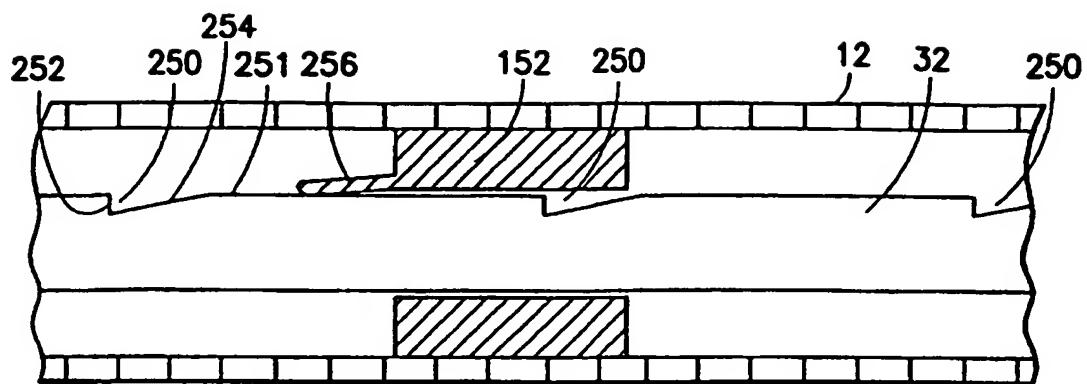
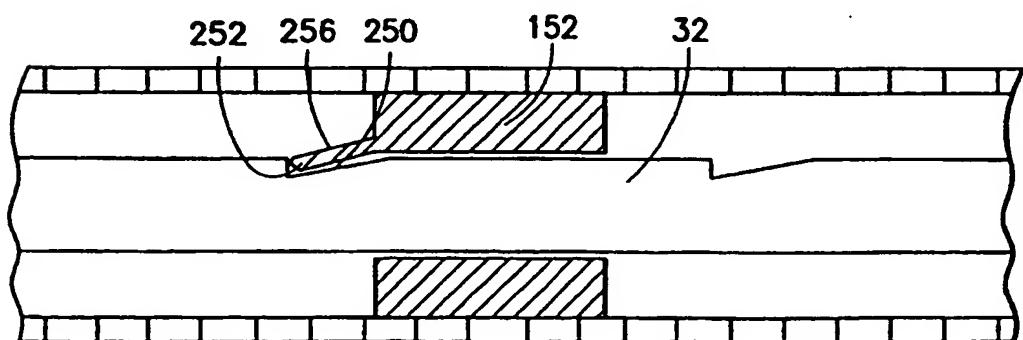


FIG. 3



**FIG.2B**



**FIG.2C**

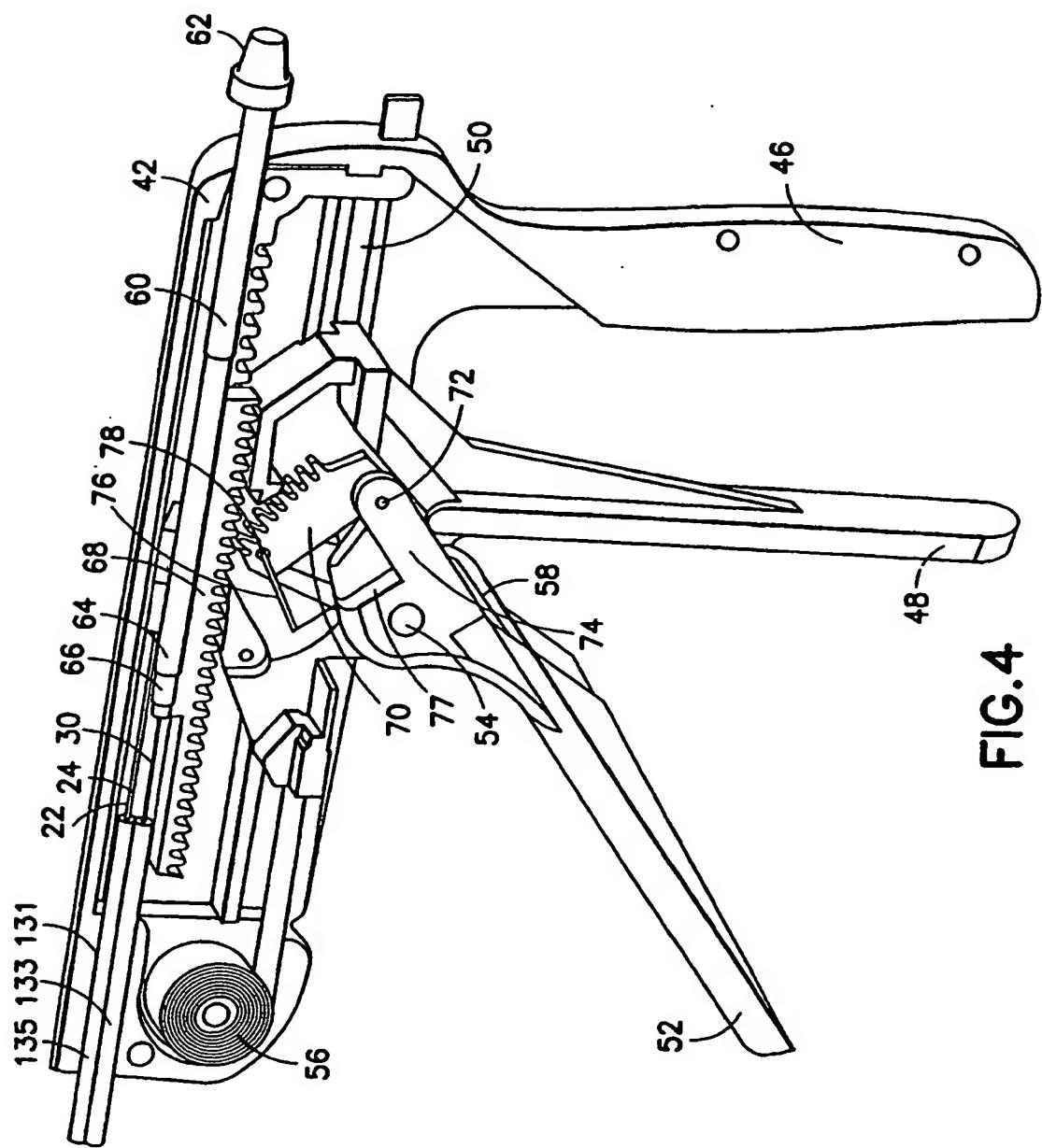


FIG.4

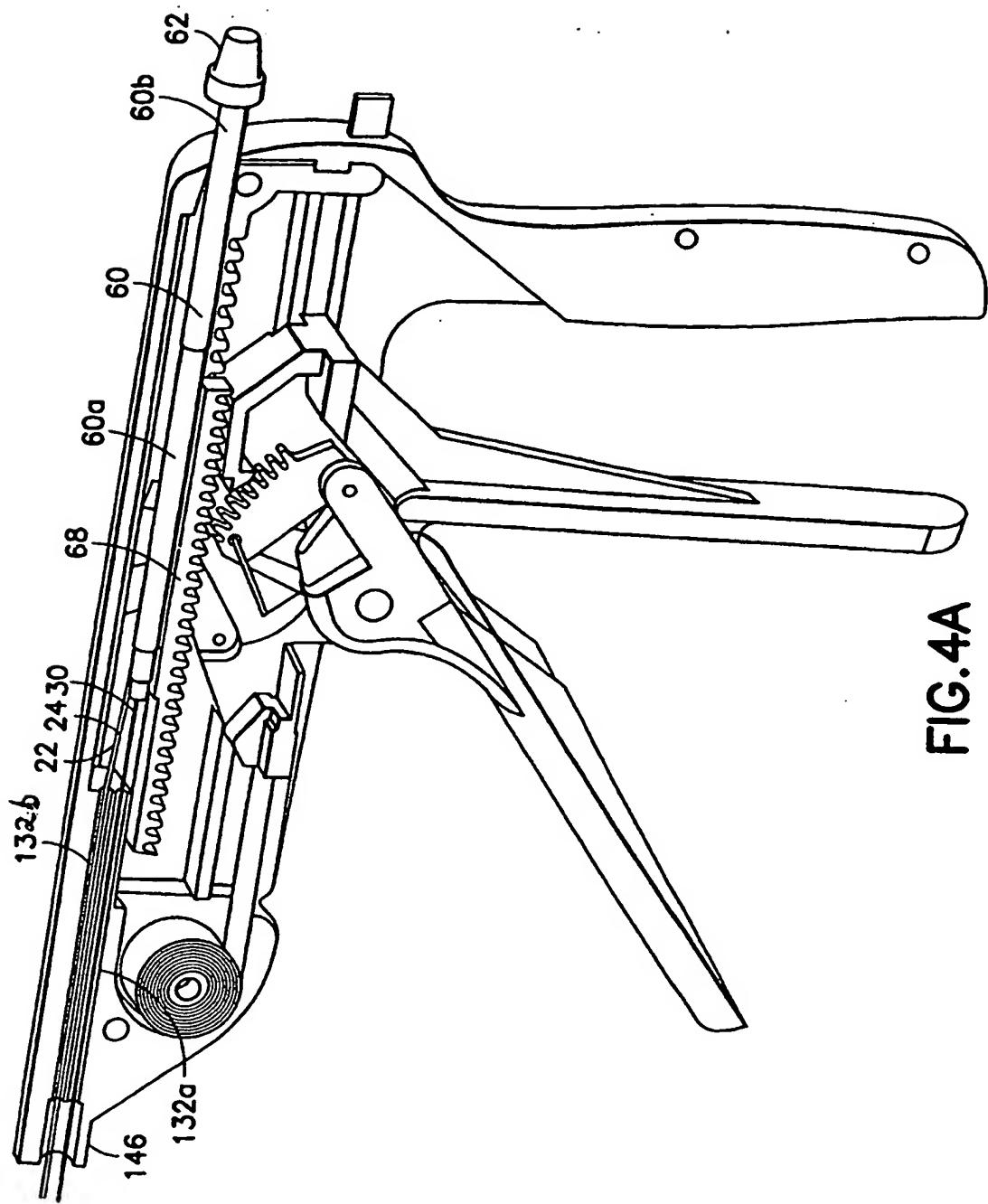


FIG. 4A

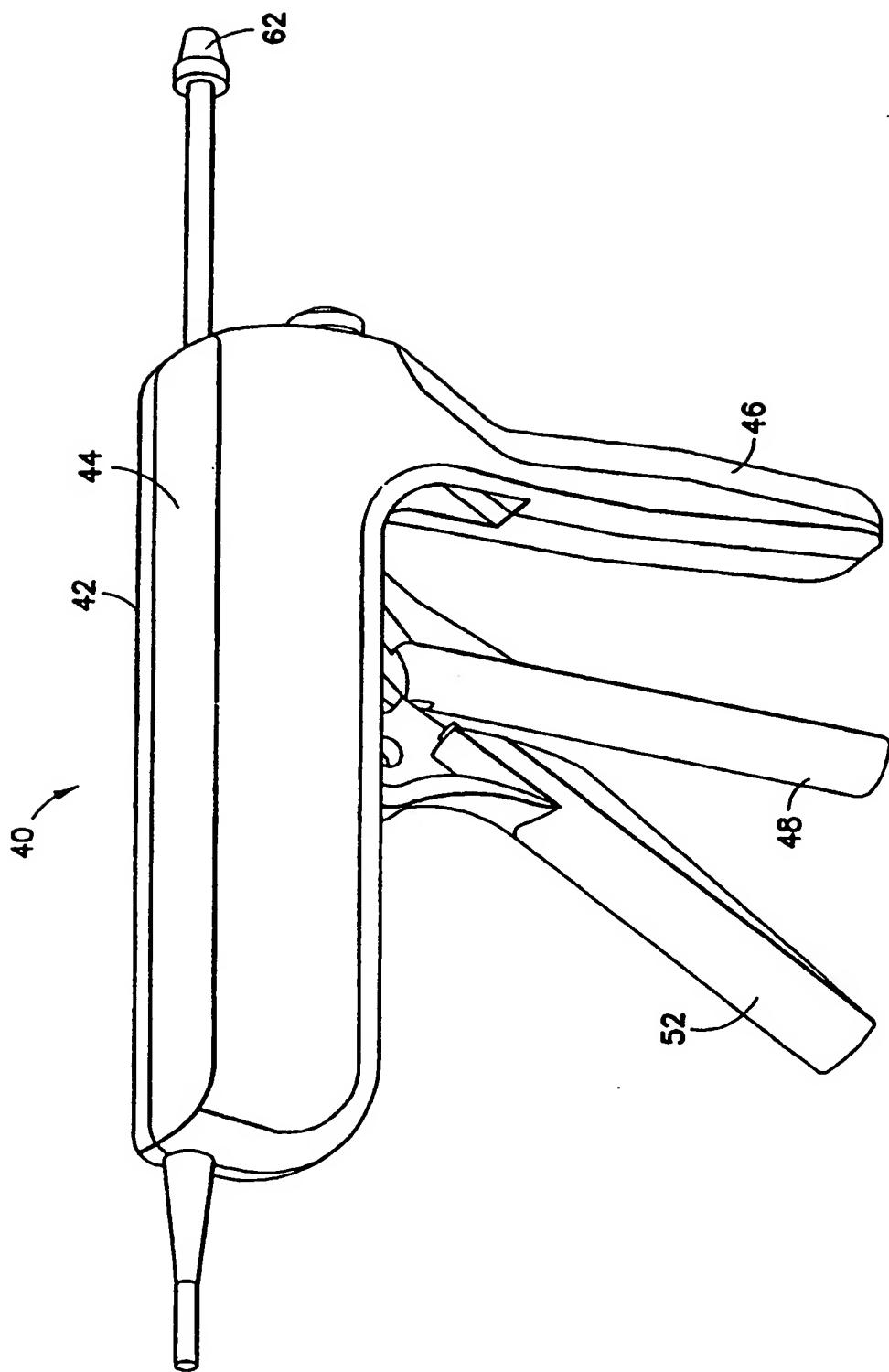


FIG.5

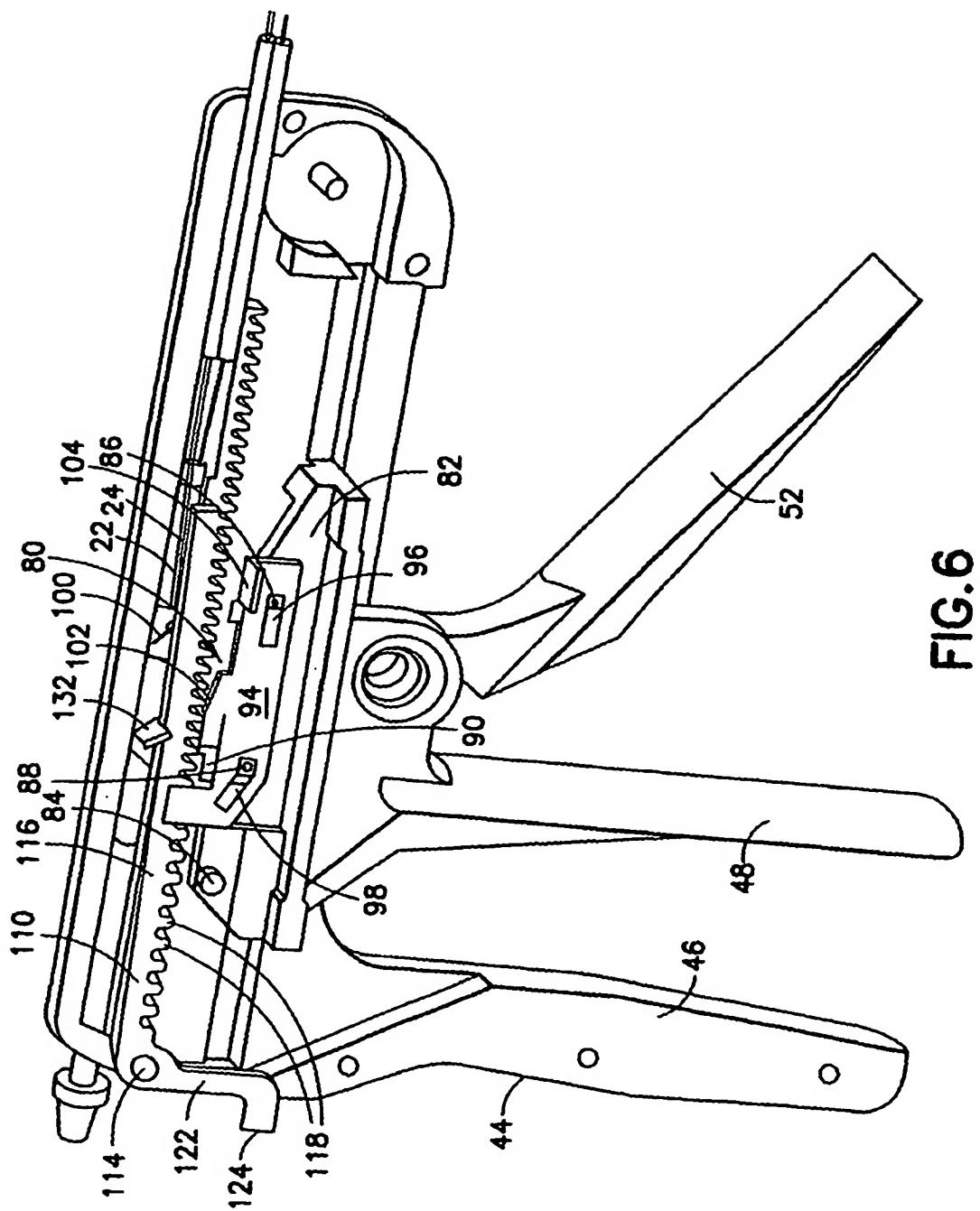


FIG. 6

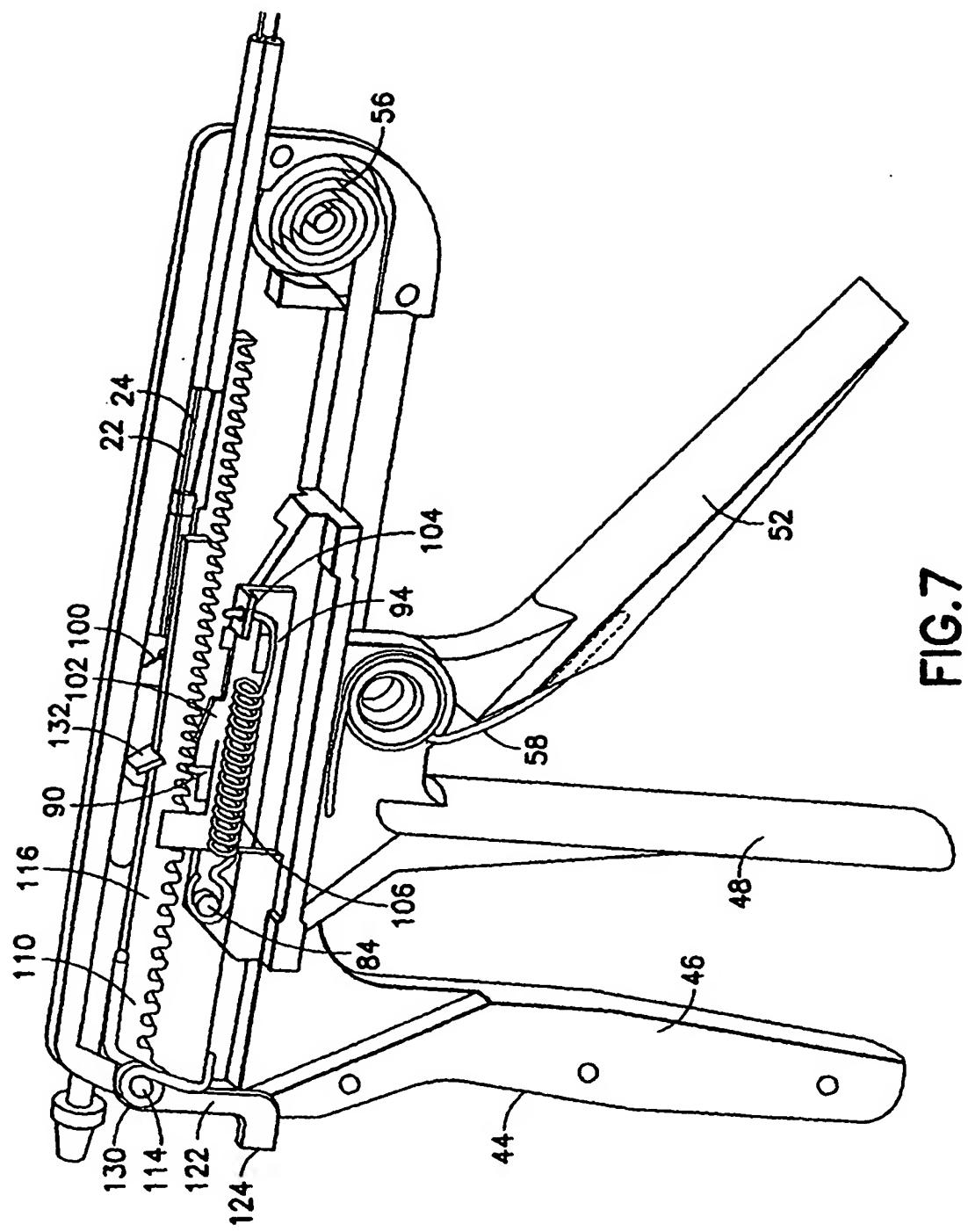


FIG.7

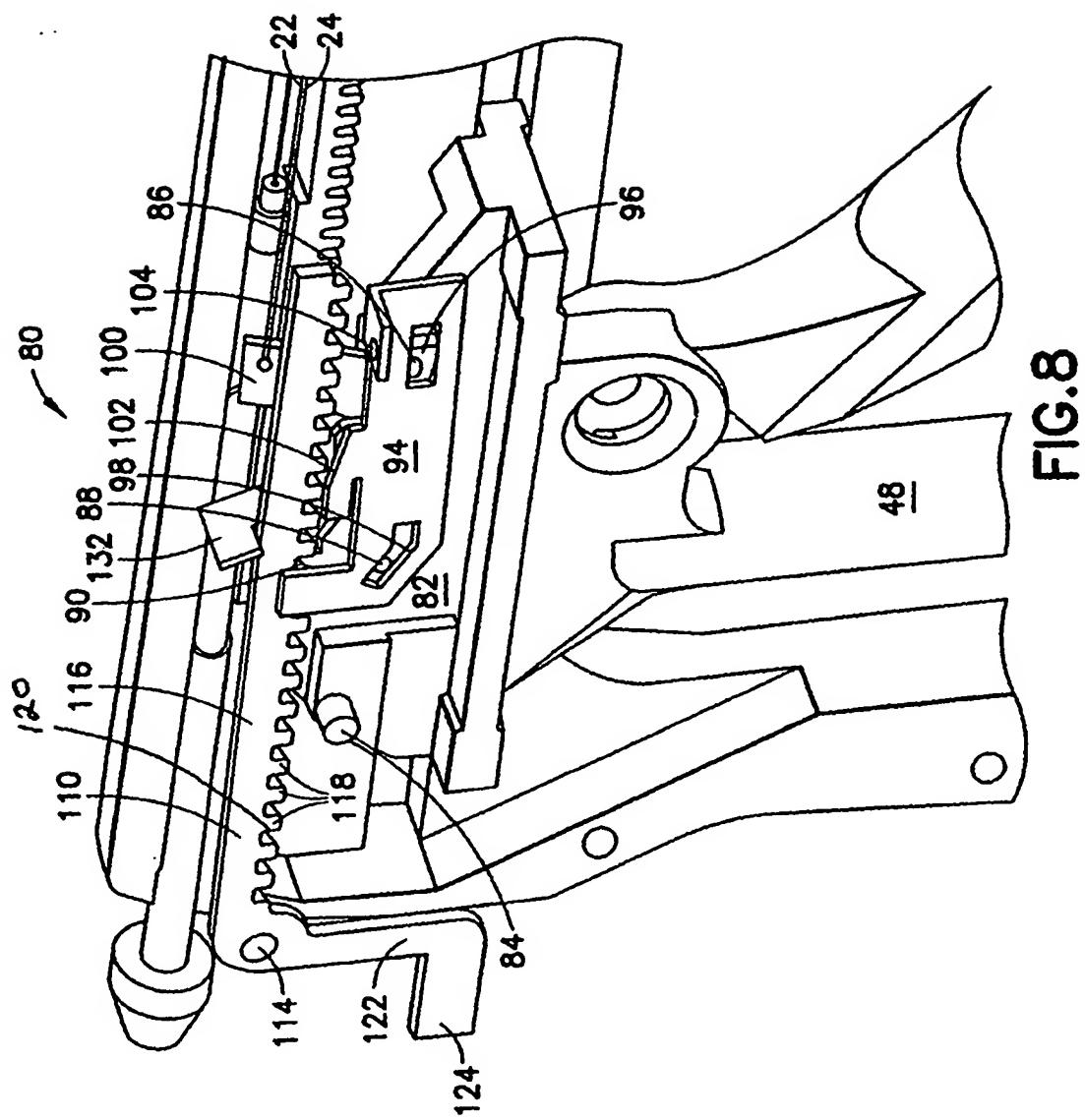


FIG. 8

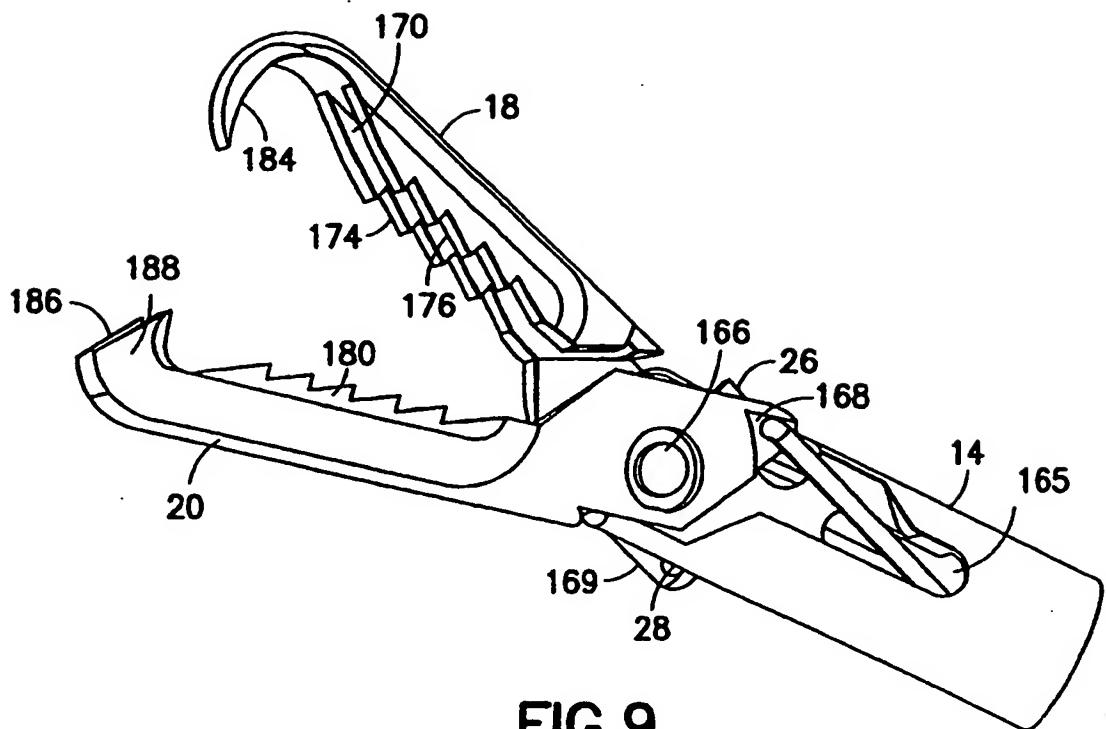


FIG.9

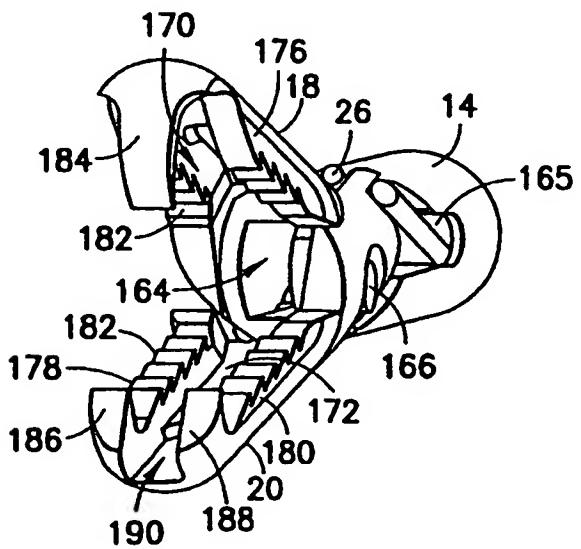


FIG.10

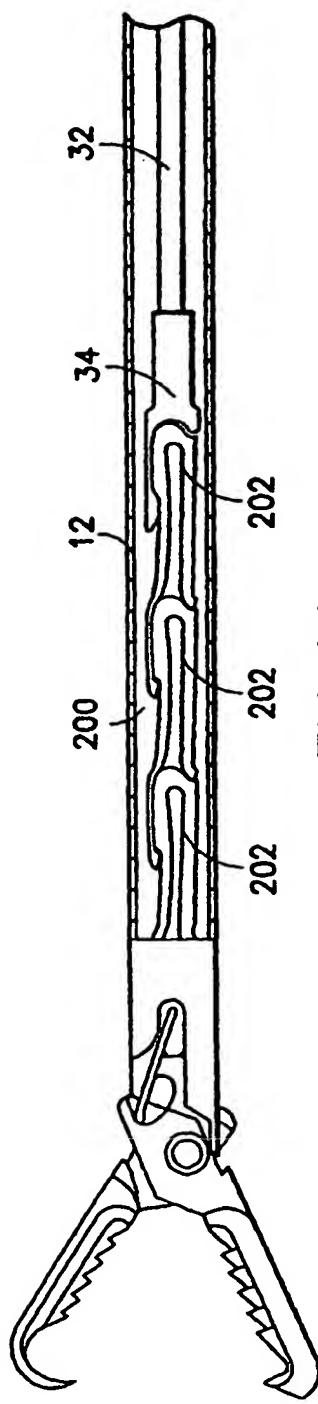


FIG. 11

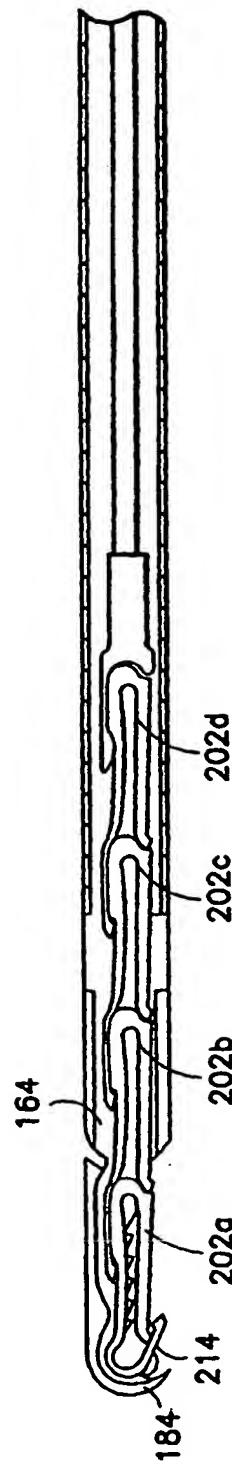


FIG. 17

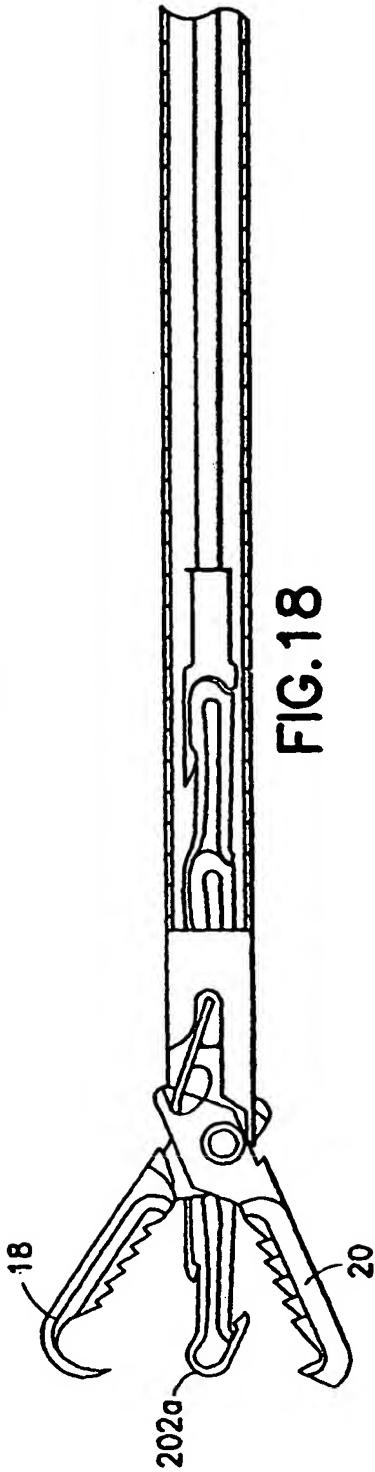


FIG. 18

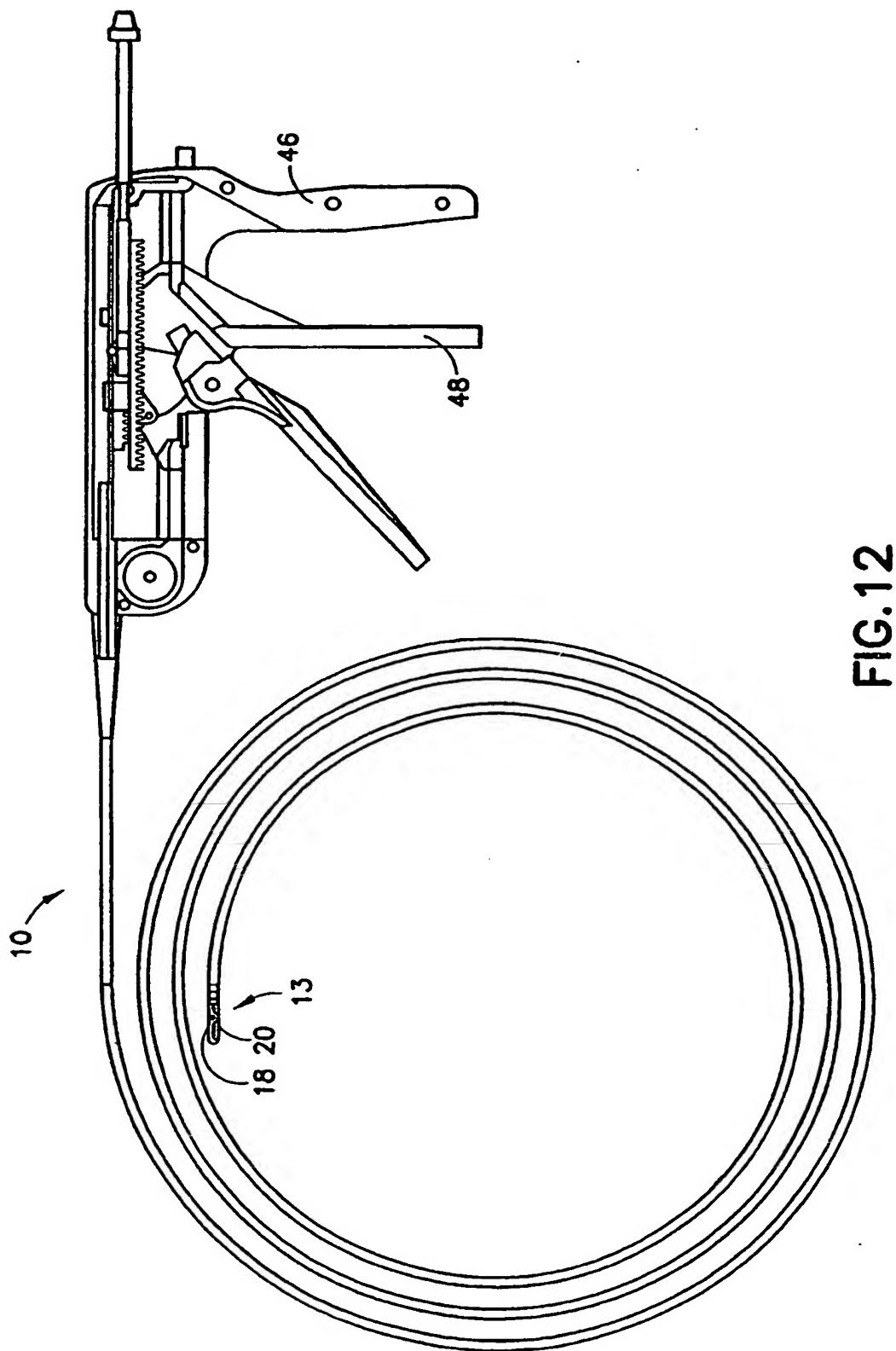


FIG. 12

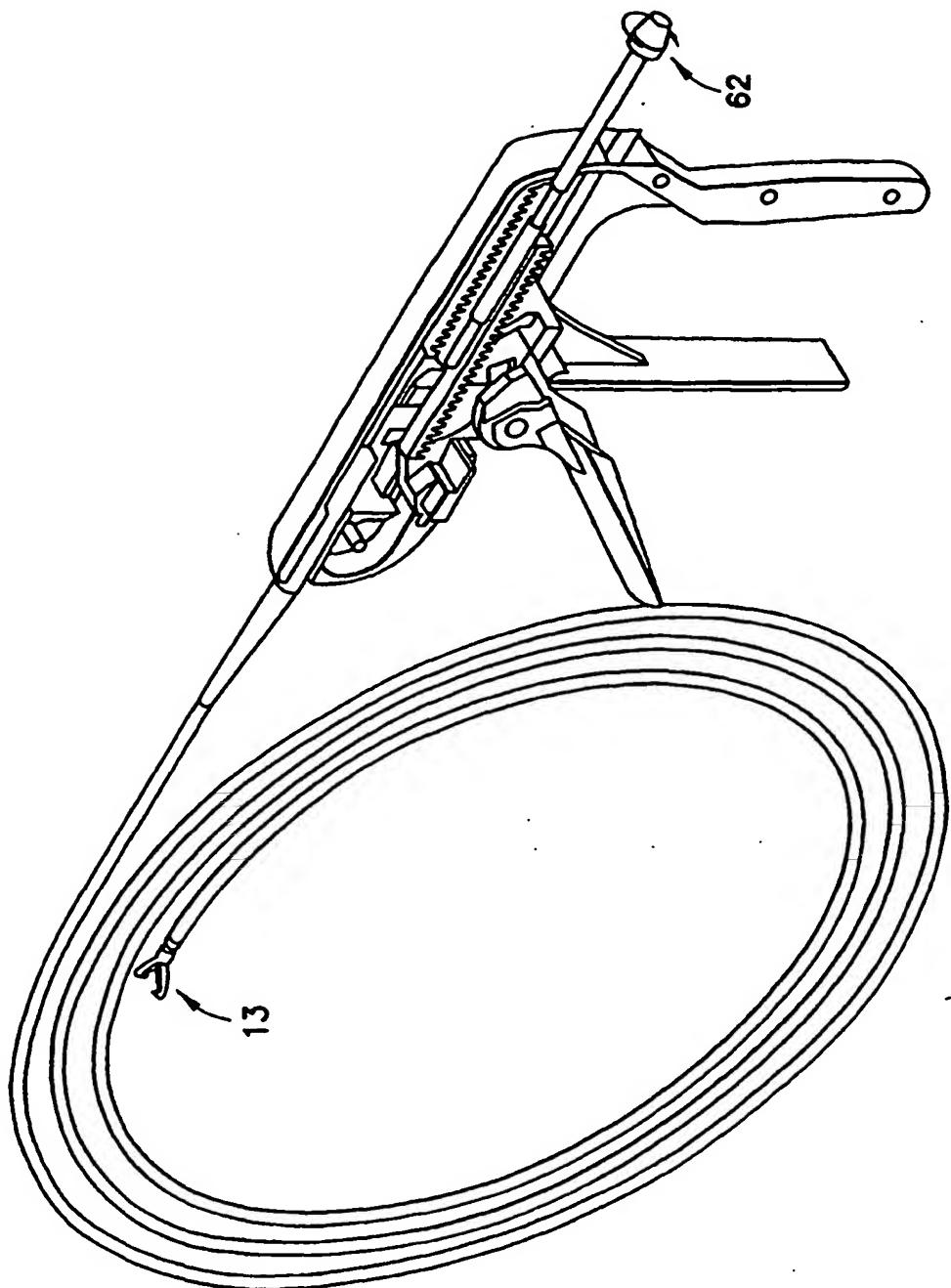


FIG. 13

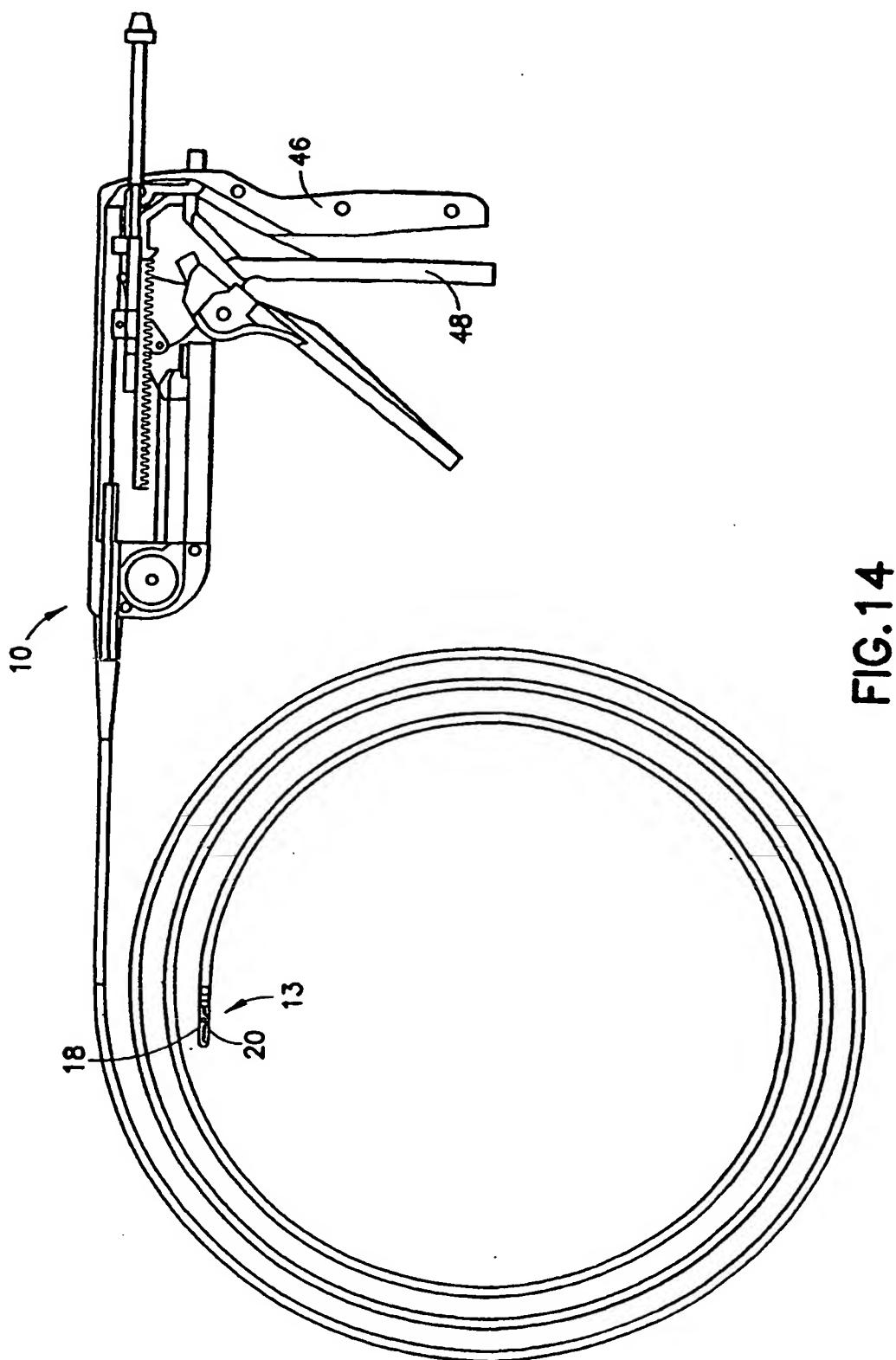


FIG. 14

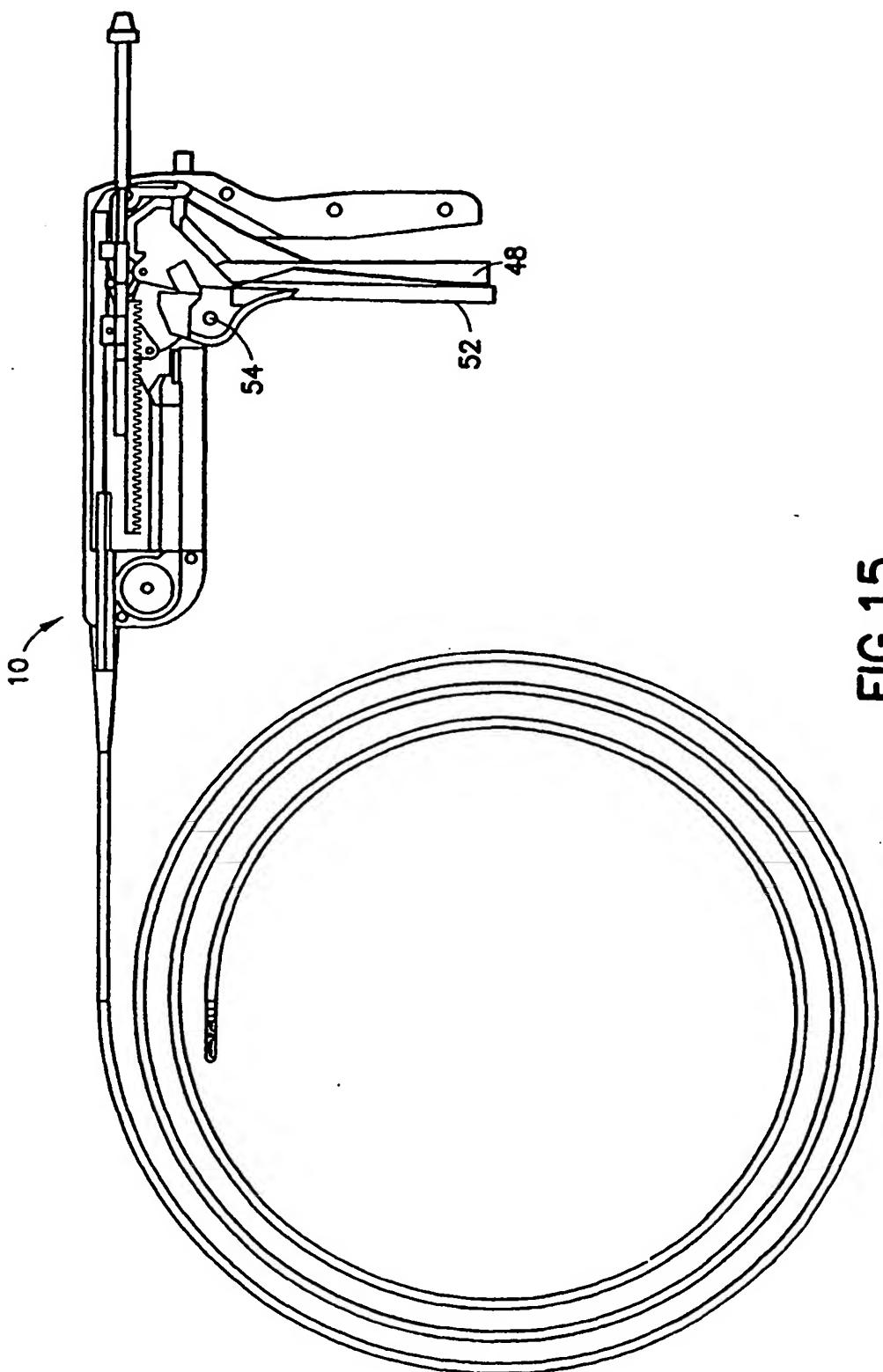


FIG. 15

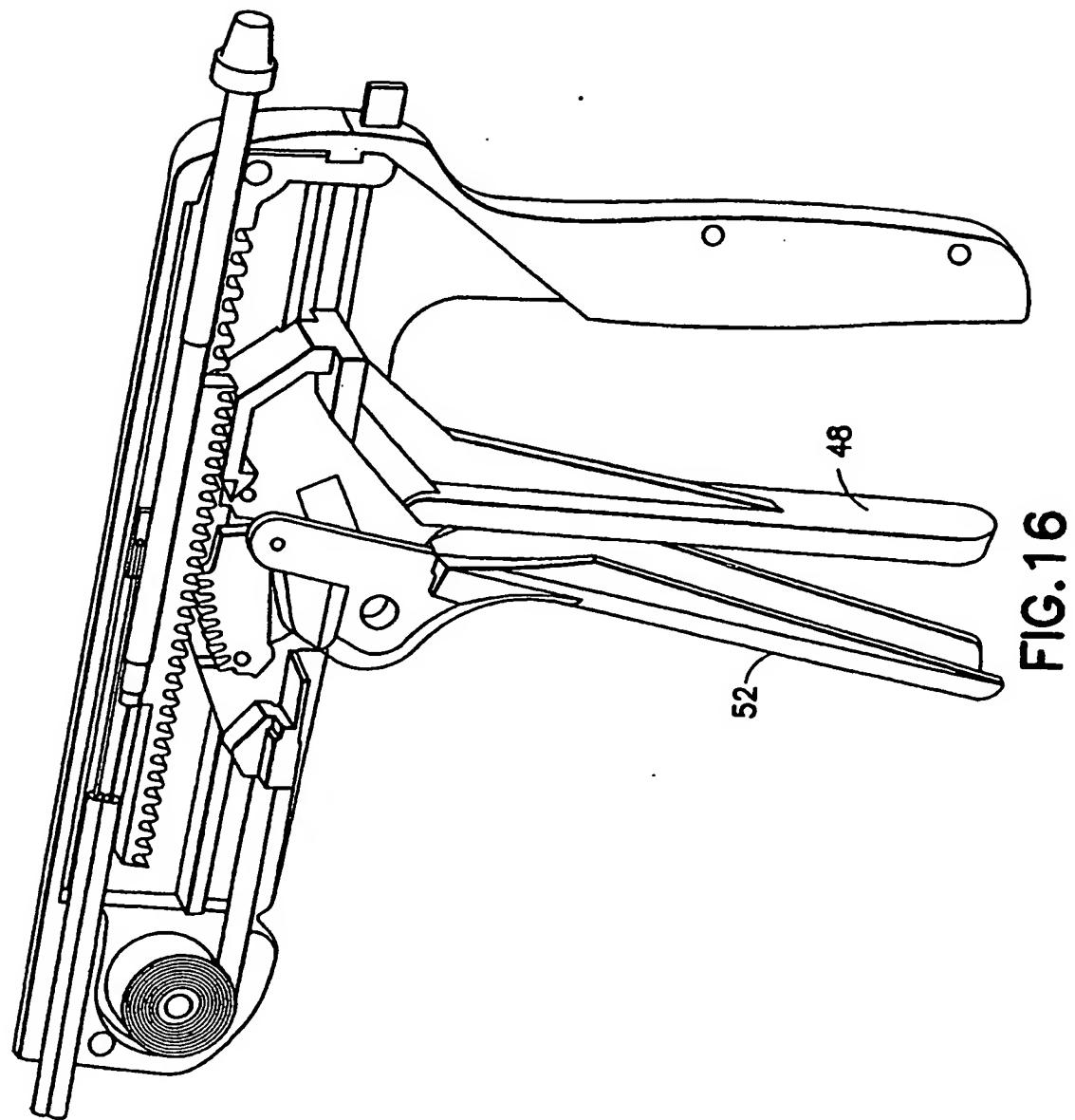


FIG. 16

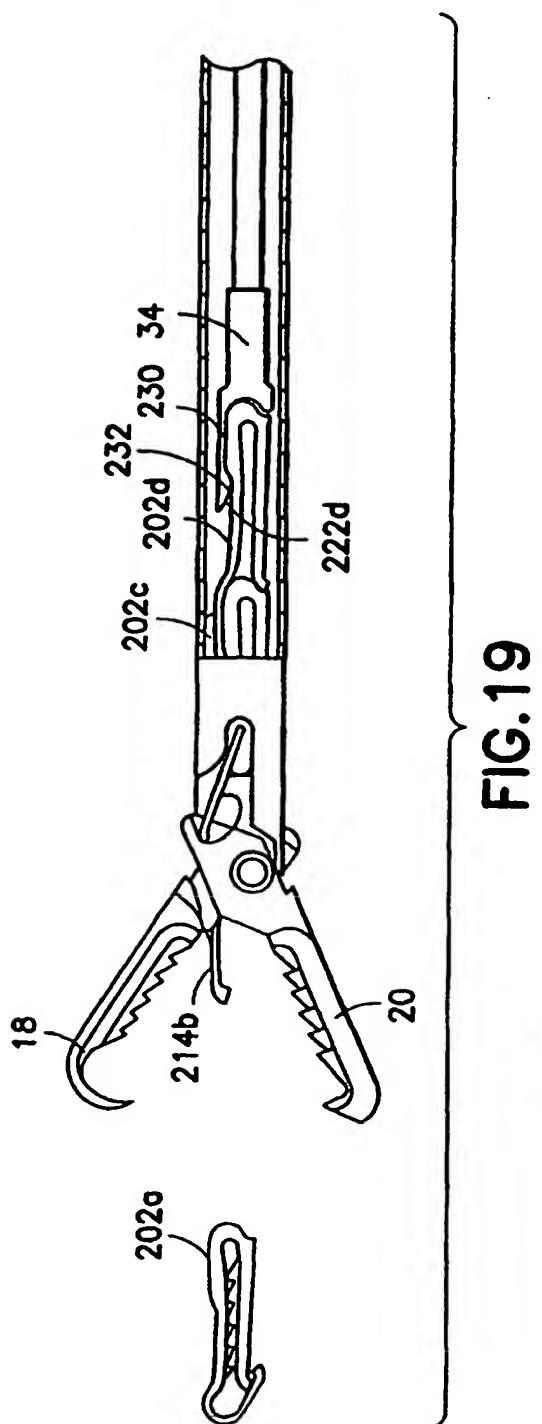


FIG. 19

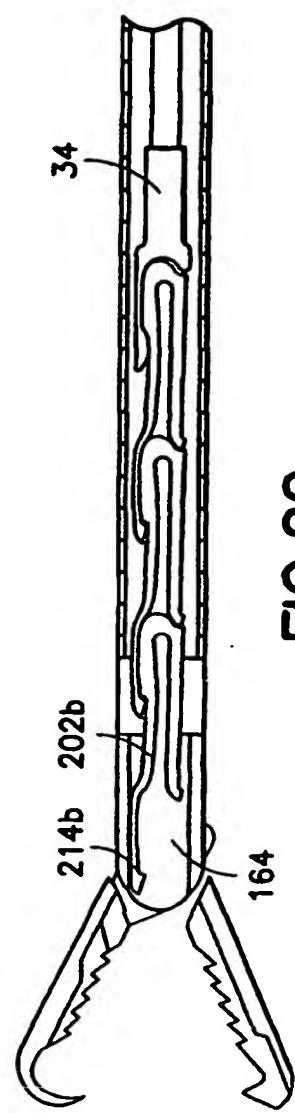
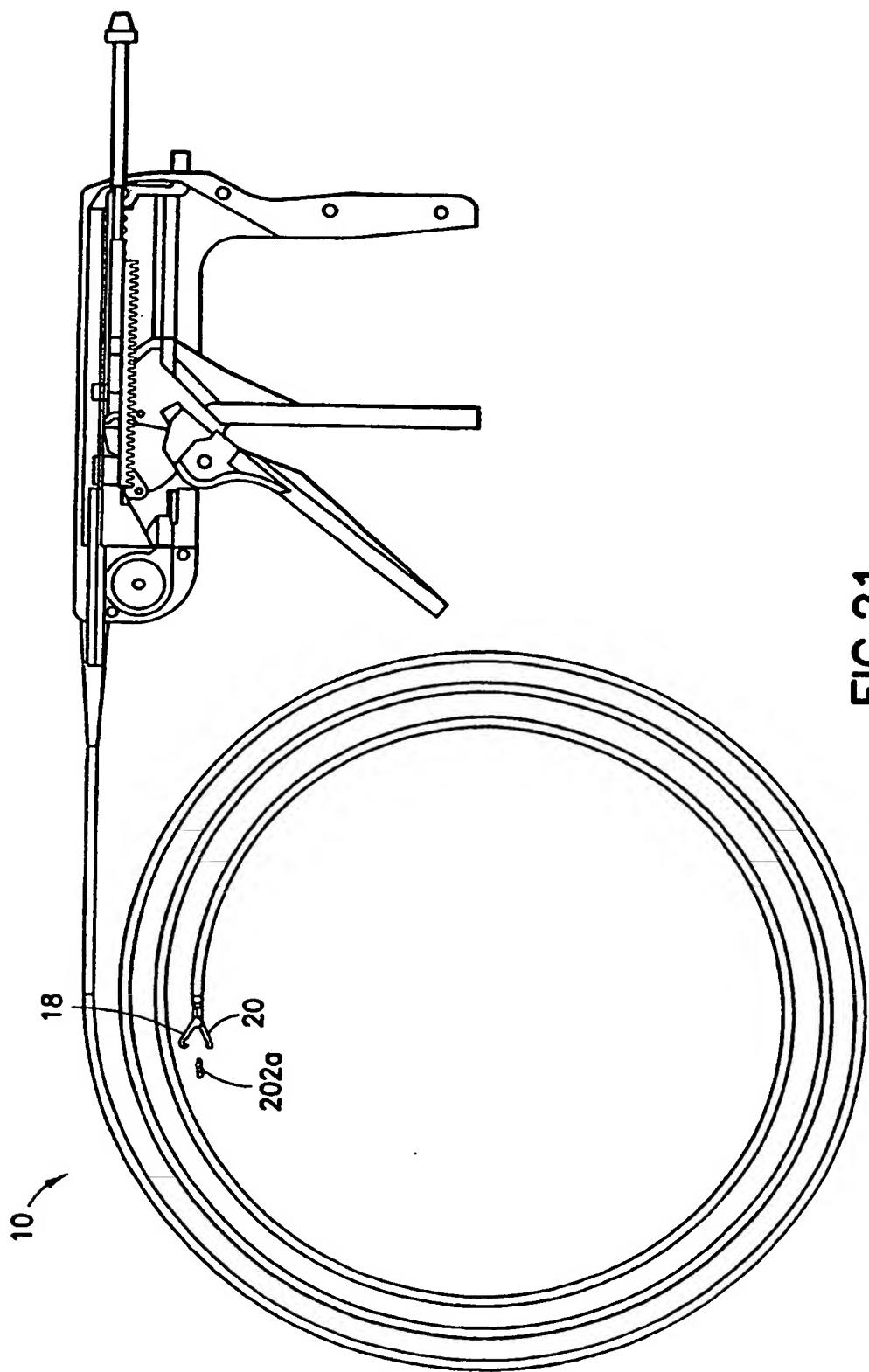


FIG. 20

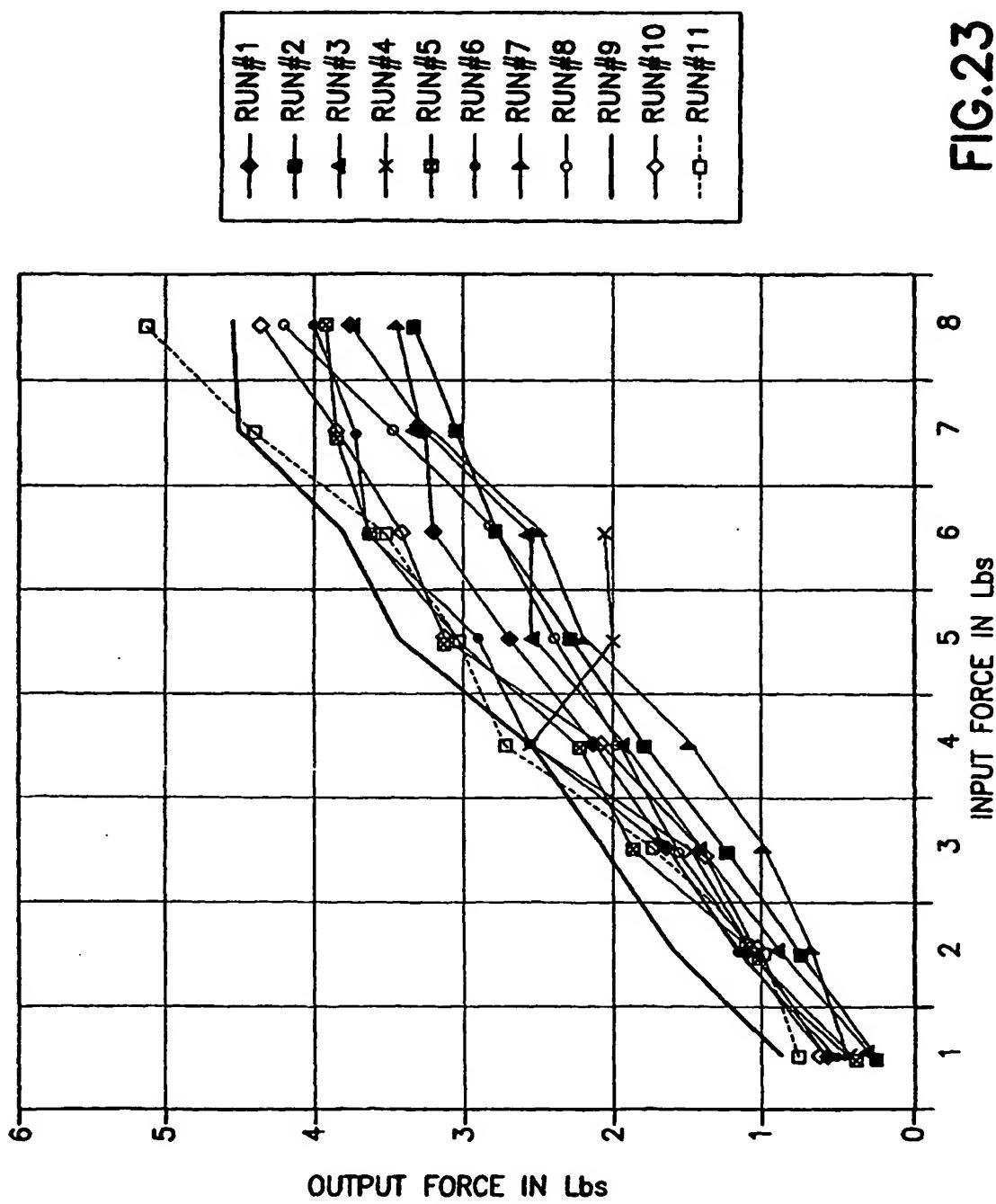
FIG.21.

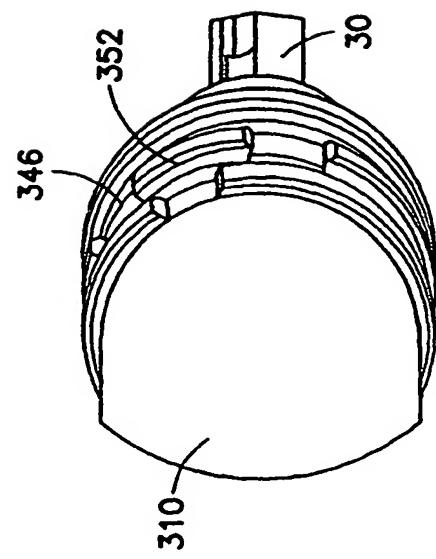
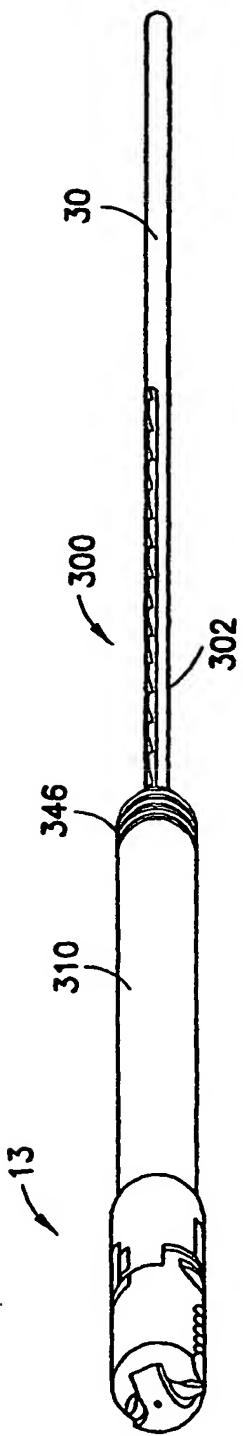


COIL O.D.	0.09	0.09	0.09	0.09	0.09	0.09	0.09	0.09	0.09	0.09	0.09	0.09	0.086	0.086	0.083
COIL I.D.	0.06	0.06	0.06	0.06	0.06	0.06	0.06	0.06	0.06	0.06	0.06	0.06	0.053	0.053	0.054
CUP ADVANCING															0.054
WIRE O.D.	0.017	0.017	0.017	0.015	0.015	0.02	0.02	0.02	0.02	0.02	0.02	0.02	0.017	0.017	0.017
END EFFECTS															0.017
WIRE O.D.	0.011	0.011	0.011	0.011	0.011	0.011	0.011	0.011	0.011	0.011	0.011	0.011	0.009	0.009	0.009
BARRIER SHEATH	YES	YES	YES	YES	YES	YES	YES	YES	YES	YES	YES	YES	YES	YES	YES
RUN #1	RUN #2	RUN #3	RUN #4	RUN #5	RUN #6	RUN #7	RUN #8	RUN #9	RUN #10	RUN #11	RUN #12	RUN #13	RUN #14	RUN #15	RUN #16
1 lb	0.46	0.24	0.32	0.54	0.59	0.56	0.45	0.87	0.4	0.57	0.77				
2 lb	1.19	0.75	0.9	1.07	1.07	1.07	0.69	1.62	1.12	1.02	1				
3 lb	1.67	1.24	1.44	1.51	1.9	1.7	1.02	2.07	1.61	1.39	1.77				
4 lb	2.19	1.81	1.98	2.62	2.3	2.6	1.51	2.61	2.01	2.09	2.76				
5 lb	2.74	2.35	2.59	2.05	3.07	2.98	2.26	3.5	2.44	3.19	3.15				
6 lb	3.27	2.82	2.61	2.11	3.68	3.72	2.56	3.87	2.78	3.5	3.61				
7 lb	3.32	3.12	3.37		3.93	3.8	3.34	4.56	3.54	3.92	4.48				
8 lb	3.82	3.42			4.03	4.08	3.54	4.61	4.28	4.42	5.17				
WEIGHT POSITION	11 lb NO Loops	11 lb 1 Loops	11 lb 2 Loops	11 lb NO Loops	11 lb NO Loops	11 lb 1 Loops	11 lb 2 Loops	11 lb NO Loops	11 lb 2 Loops	11 lb NO Loops					

FIG. 22

FIG.23





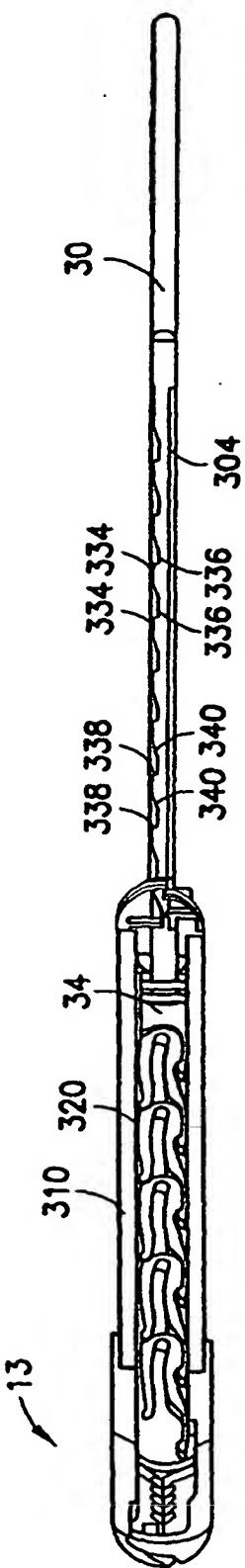


FIG.26

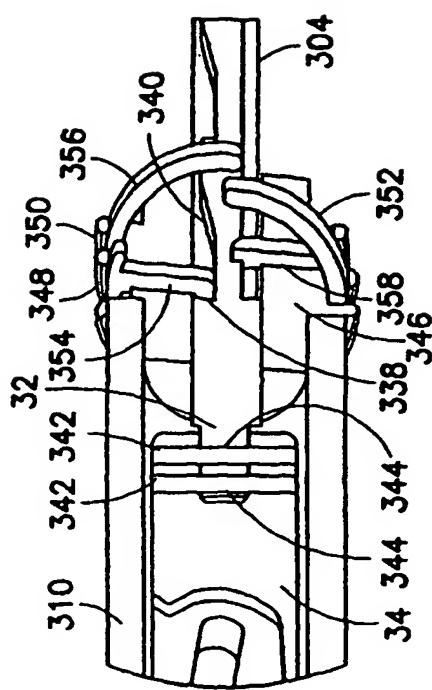


FIG.27

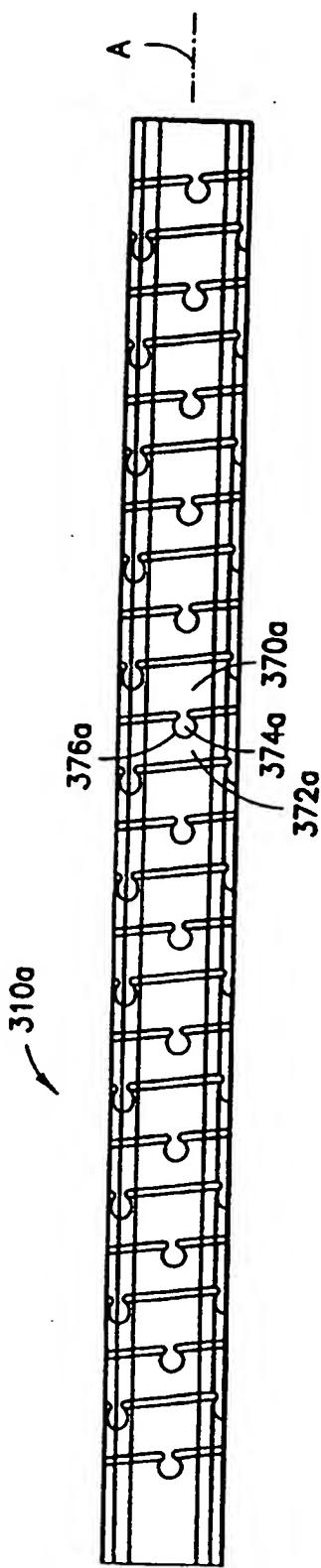


FIG.28

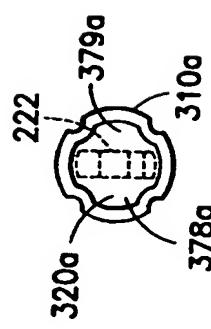


FIG.29

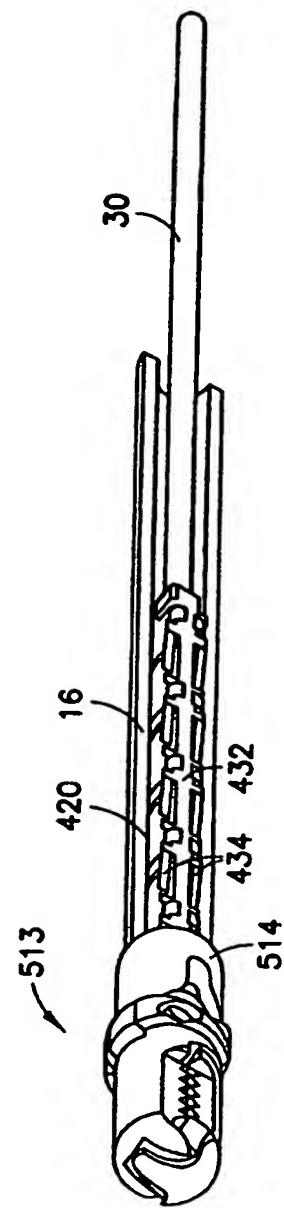


FIG.30

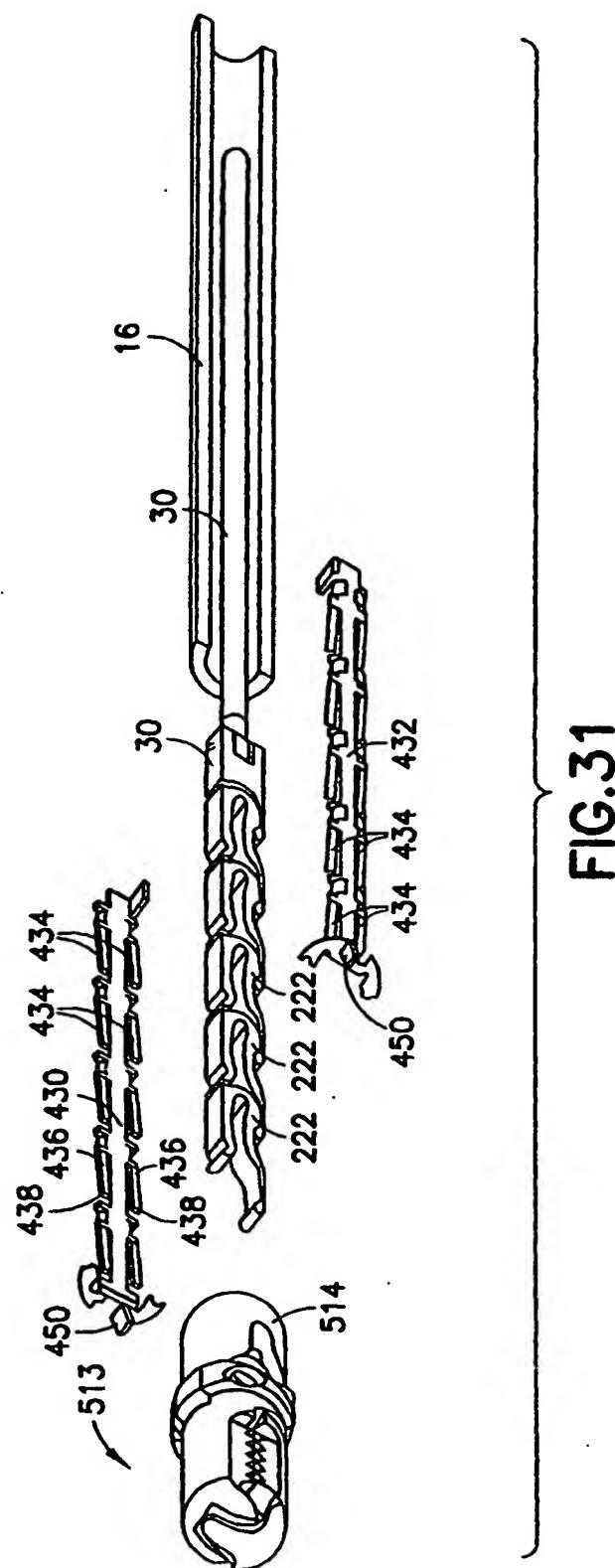
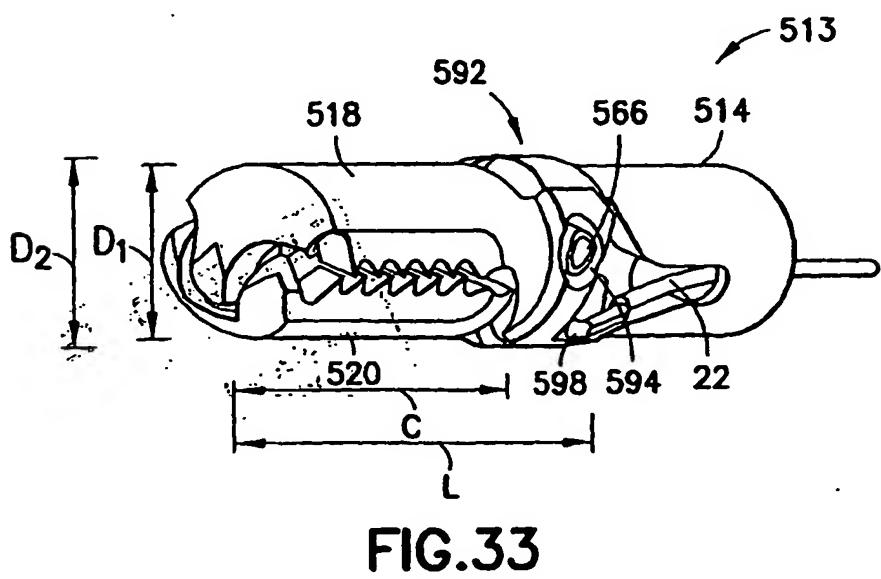
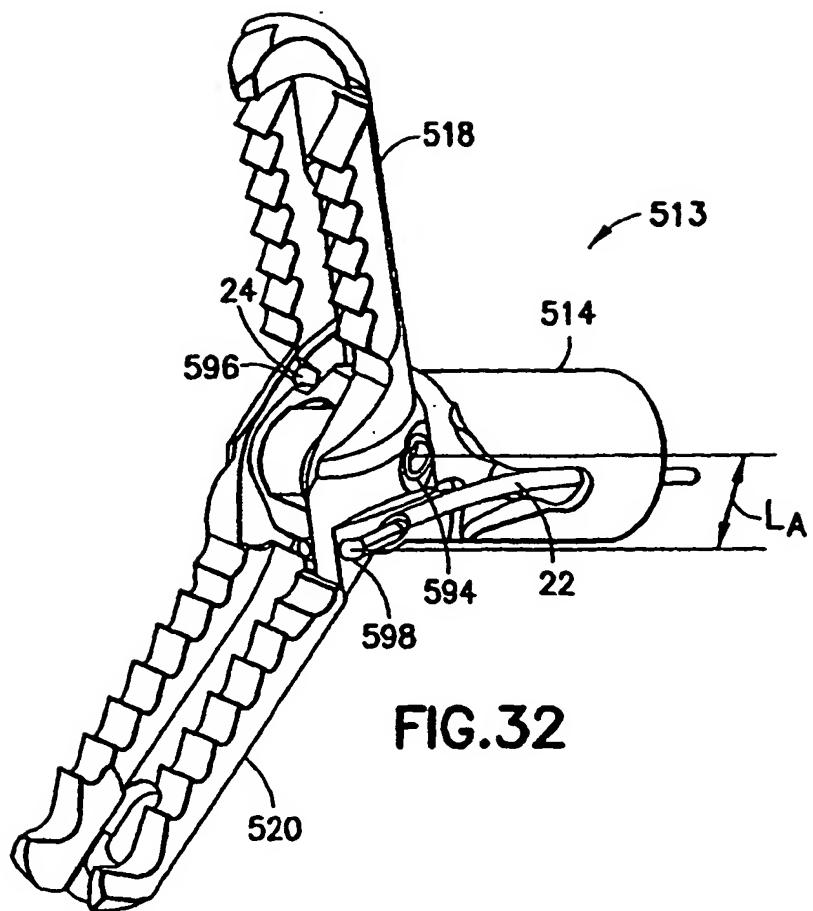


FIG.31



**REFERENCES CITED IN THE DESCRIPTION**

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(19) Europäisches Patentamt  
European Patent Office  
Office européen des brevets



(11) EP 1 468 653 A3

(12)

## EUROPEAN PATENT APPLICATION

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21.12.2005 Bulletin 2005/51

(51) Int Cl.7: A61B 17/128

(43) Date of publication A2:  
20.10.2004 Bulletin 2004/43

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AL LT LV MK

(30) Priority: 25.03.2003 US 396959

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### (54) Surgical flexible clip applier with jaw assembly

(57) A flexible clip applier (10) includes a ratchet (68,70) mechanism adapted to locate a clip pusher (34) to a known location after deployment of a distalmost clip (202). In addition, the clip applier (34) includes a flexible housing (12) into which a train of clips (202) may be

chambered. The flexible housing (12) is constrained from elongation when subject to tensile forces. In accord with another aspect of the invention, the jaw assembly (18,20) is adapted to have relatively high mechanical leverage which facilitates tissue compression prior to application of a clip (202).

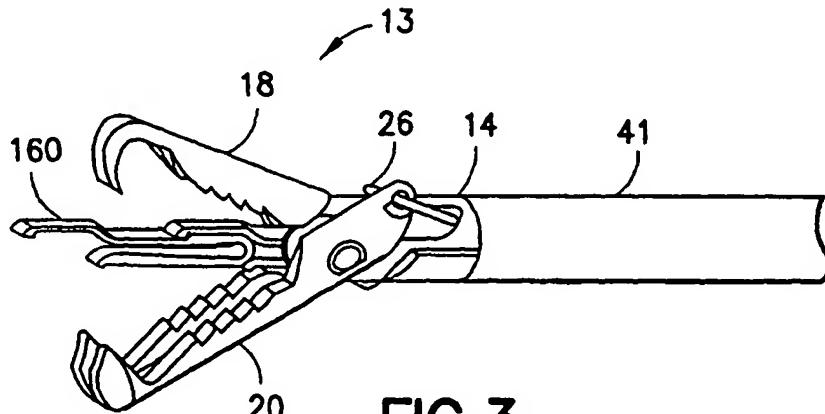


FIG.3



European Patent  
Office

## **EUROPEAN SEARCH REPORT**

Application Number

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.Cl.7)
P,X	US 2003/191478 A1 (KORTENBACH JUERGEN A ET AL) 9 October 2003 (2003-10-09) * paragraph [0109] - paragraph [0113]; figures 30-33 * -----	1-19	A61B17/128
A	US 2002/138086 A1 (SIXTO ROBERT ET AL) 26 September 2002 (2002-09-26) * paragraph [0062] - paragraph [0066]; figures 2-4 *	1-19	
A	US 2002/198541 A1 (SMITH KEVIN W ET AL) 26 December 2002 (2002-12-26) * paragraph [0064]; figures 9,10 * -----	1-19	
			TECHNICAL FIELDS SEARCHED (Int.Cl.7)
			A61B
The present search report has been drawn up for all claims			
Place of search	Date of completion of the search	Examiner	
Berlin	1 November 2005	Olsson, B	
CATEGORY OF CITED DOCUMENTS			
X : particularly relevant if taken alone	T : theory or principle underlying the invention		
Y : particularly relevant if combined with another document of the same category	E : earlier patent document, but published on, or after the filing date		
A : technological background	D : document cited in the application		
O : non-written disclosure	L : document cited for other reasons		
P : intermediate document	& : member of the same patent family, corresponding document		

**ANNEX TO THE EUROPEAN SEARCH REPORT  
ON EUROPEAN PATENT APPLICATION NO.**

EP 04 25 1571

This annex lists the patent family members relating to the patent documents cited in the above-mentioned European search report.  
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01-11-2005

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